PinCH
An analysis and design tool for process integration

VERSION 2.0
USER MANUAL

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Preface

PinCH is a user-friendly software for the practical implementation of pinch-analysis in industry. The software has been developed at the Competence Centre Thermal Energy Systems and Process Engineering at the Lucerne School of Engineering and Architecture. The development team is very thankful to the Swiss Federal Office of Energy (SFOE) and Energie-Agentur Der Wirtschaft (EnAW) for their financial support. In addition, the team thanks the experts from Helbling Beratung + Bauplanung AG, Brunner Energieberatung GmbH and PLANAIR SA Ingenieurs Conseils SIA for the valuable technical advice and support in writing this documentation.

Information about the software PinCH including the current versions of the software and manual is available at www.pinch-analyse.ch.
Summary

The use of energy in the world today and in the future is important for governments and citizens alike due to its central role in everyday life. Without access to affordable and highly concentrated sources of energy much of today’s economic and innovation progress could be severely affected. As a result, there are concerns related to the increasing shortage of resources presently used for meeting the energy demands of the world’s economies. In addition, CO$_2$ emissions targets are legislated with the goal of reducing the amount of CO$_2$ and other greenhouse gases emitted to below 1990 levels in countries that have ratified the Kyoto protocol. Nevertheless, the world’s economies require energy to meet the everyday needs of citizens and provide opportunities for new innovation and economic growth in both western and developing nations. One of the keys to meeting this challenge is to improve energy efficiency throughout the industrial infrastructure. This approach has the combined effect of reducing energy demand as well as CO$_2$ emissions. The Swiss Federal Office of Energy (SFOE) has estimated that a 30 - 50% reduction in energy needs of domestic industrial processes can be achieved through improved energy efficiency and energy utilization. Better energy efficiency is a way to the future.

A cornerstone technology increasingly used for improving industrial energy efficiency is pinch analysis method. The pinch method is a major part of the more generalized and broader area of process integration. It plays a central role in the integrated or holistic approach in process synthesis and design and has been shown to provide significant improvements in the energy utilization of industrial facilities of various levels of complexity and size. The method is based on a relatively practical interpretation of the second law of thermodynamics and places a considerable amount of responsibility on the user to fully understand the process they are trying to optimize. This action in itself provides a strong basis for the adoption of such a technology as the design engineer speaks in terms of his or her process on a practical concrete level of understanding. The results from a pinch analysis study provide the thermodynamically feasible targets for the industrial process being studied. The method seeks to distinguish between avoidable and inevitable losses. Therefore, given an optimum economic and energy trade-off the energy targets encompassing only the inevitable losses can be calculated. These targets provide a powerful incentive in the design or retrofitting project phase to ensure the maximum amount of energy is recovered leading to the minimum amount of required external energy at the most economic constraint.
Due to the large amount of information and details that can arise during an analysis for medium to large processes, a computer based tool is typically needed to support a pinch analysis study. Today several commercial applications exist; however, one version of a pinch analysis tool was developed in the Ecole Polytechnique Fédérale de Lausanne (EPFL) in the early 1990’s ("PinchLENI", Prof. Dr. Daniel Favrat, Laboratoire d’énergétique industrielle LENI). This tool has allowed students and engineers to learn and apply the method in the praxis successfully. However, the software is no longer being developed and maintained and is not capable of running on newer operating systems. PinchLENI has been a useful product over the years, but the decision was made to upgrade the entire code base to new technology and include additional new features within the field of process integration that were not developed in the original software. This new software is called PinCH and is documented in this user manual. PinCH maintains the user friendliness of its predecessor in allowing the design engineer to easily create the stream table for the process in question followed by targeting analysis to determine the $\Delta T_{\text{min}}$, pinch temperature and associated thermodynamically feasible minimum utility requirements. These targets provide the basis for the final project stage of heat exchanger network design and synthesis. The latest version is PinCH 2.0. This version extends upon the previous release PinCH 1.0/1.5 by provide new to the world support for analyzing processes that exhibit multiple operating cases or that operate in a batch mode. Many industrial processes ranging from small to medium sized operation consist of such processes. A goal of PinCH is to support the energy optimization of such enterprises.

In conclusion, the new PinCH software is a powerful simulation tool focussed on the optimization of industrial processes as its central goal. The realization of this central goal will allow the continued promotion of the pinch analysis method enabling progress and leadership in the area of process integration and a more holistic system design approach to optimizing society’s energy use.
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Chapter 1

Welcome to PinCH

1.1 Introducing PinCH

Welcome to PinCH - a complete solution for energy analysis of industrial processes. To help promote the use of the pinch analysis method, the software tool PinCH (CH also stands for the Latin name “Confoederatio Helvetic”, i.e. Switzerland) was developed, which provides a sound basis for the application and support of the pinch method and process integration.

- PinCH is sophisticated analysis software that supports process integration studies focussed on the pinch analysis method.
- PinCH is a tool that supports energy optimization analysis for continuous, semi-continuous and batch processes.
- PinCH is a framework for handling multiple scenarios and process structures in targeting calculations.
- PinCH is a dynamic and powerful heat exchanger network design tool.

See “What Features are in PinCH” for a list of the features included in this release of PinCH.

For the latest release information, please go to: www.pinch-analyse.ch
1.2 About This Manual

This manual assumes that you know how to use Microsoft Windows operating system, Microsoft Excel, a word processor and the computer mouse. For help on these topics, consult your computer owner’s manual.

Within the PinCH program itself are several ways to execute commands. Primarily the program is context menu driven based on context-sensitive right click on specific text fields. In addition, there is an on-line help system as well as a main menu and standard keyboard shortcuts available on the workbench.

Note: This user manual will be periodically updated. Please check the PinCH website for the latest version at www.pinch-analyse.ch.

1.3 What Features Are In PinCH

PinCH provides for some of the following features (only as an overview):

- Analysis of continuous and batch processes
- Coupling of several processes (Process Management)
- Dynamic stream table capabilities
- Flexible functions for investment and energy costs
- Technical and economic case studies (Scenario Management)
- Current state analysis of processes and heat exchanger networks
- Graphical design of heat exchanger networks
- Optimization of utility systems
- Integration of heat pumps, combined heat and power (CHP) systems, mechanical vapour recompression units, etc.
- Physical property data for refrigerants, water/steam and humid air systems
- Comprehensive data import/export capabilities
Chapter 2

Installing And Upgrading PinCH

2.1 PinCH Program Requirements

Please read this section before proceeding with the installation.

PinCH can be installed as a trial version that will run in a limited capacity for a short trial period. The trial can be upgraded to a fully purchased version upon request. Please visit our website for the latest pricing information at www.pinch-analyse.ch. In addition, information on how to obtain PinCH via a download is available on the website.

System Requirements:

In order to use the PinCH software, the Microsoft.Net Framework 4.0 must be installed. Administrator rights are needed in order to do so and may require the assistance of your information technology department to complete the install. PinCH runs under the following operating systems:

- Windows Vista (32- or 64-bit versions)
- Windows 7 (32- or 64-bit versions)
- Windows 8 (32- or 64-bit versions)
Hardware Requirements:

- Processor: 2.6 GHz Pentium processor or equivalent (Recommended)
- RAM: 4096 MB (Recommended)
- Hard Disk: Up to 50 MB of available space may be required
- Display: 1680 x 1050 high colour, 32-bit (Recommended)
- Internet connection (Software activation)

2.2 PinCH Local Installation

Follow these instructions to install the PinCH program locally as a stand-alone single seat license.

⇒ If you are upgrading to a newer version, please see “Upgrading To A Newer Version”.

⇒ Please see “Using PinCH 2.0 on a Network” (section 2.4) for information about using PinCH on a network and the separate document “Network Installation Guide” for details of how to install and configure the network license manager.

PinCH is available in two versions - A free trial and a full purchased version. Note: Please ensure you have full administrator rights in order to be able to install the PinCH software. These rights are particularly important for the versions of the Windows operating system currently supported.

To install PinCH:

1. Login to the machine with administrator rights or as a user with program installation privileges.

2. Ensure you have obtained the most recent version of PinCH by checking for latest information on www.pinch-analyse.ch.

3. Unzip the compressed installer file to your computer and double click the installer file to start the PinCH setup program.
4. Follow the instructions on the screen to complete the installation. Use the appropriate buttons on each wizard dialog as follows:

a. Licence Agreement: Review the licensing agreement and choose to accept the agreement or not. If accepted, then select **Install** to begin the installation.

b. Installing PinCH 2.0: Installation will proceed and the necessary changes will be completed. Be sure to accept the Windows security dialog to allow installation to proceed.

c. Installation Complete: Press Finish to close the wizard.

5. After installing PinCH start the program and the Trial/Activation dialog will appear.

6. Select Continue to begin to use the trial version of PinCH immediately.

7. To purchase a version of PinCH, please go to our website for latest pricing information at [www.pinch-analyse.ch](http://www.pinch-analyse.ch).

8. If you have purchased a version of PinCH 2.0, then you will receive a Product Key by E-Mail. Select the Activate button and paste a copy of the Product Key into the entry box and select activate (see Fig. 2.1). PinCH will automatically activate the license directly and no other action is required.

![Fig. 2.1: Trial / Activation Dialog](image-url)
Note: Please ensure you have access to the internet to allow the automatic activation to occur as shown in Fig. 2.2. Off-line activation is not supported.

Fig. 2.2: Standalone Installation Activation

2.3 Upgrading to a Newer Version

Before upgrading PinCH 2.0, back up any project files or custom layout file that you have created. We recommend that you uninstall any previous version of PinCH 2.0 that has been installed before upgrading. However, if during the setup an earlier version is detected then PinCH will automatically remove the program.

Install the upgrade just as you would a new install as detailed in section 2.2 “PinCH Local Installation”.

2.4 Using PinCH 2.0 on a Network

PinCH 2.0 can be used in a networked environment as shown in Fig. 2.3. Your IT department will be responsible to install and configure the network licenses on a dedicated license server. As a standard user you can simply start PinCH 2.0 when connected to the local network and the licensing server will be found automatically if in the same subnet. However, in the case of having the licensing server on a different subnet as the one where the PinCH software is installed (e.g. multiple broadcast domains as often seen in larger companies), the license server can be accessed by setting the host name (or IP address) of the licensing server in the Sentinel Admin Control Center that is automatically installed on each client installed version of PinCH. To configure a PinCH client installation to access a network license server on another subnet do the following:
2.5 Update a Locally Installed Time Period License

- Obtain the license server host name or IP address from your IT department.
- Select the tab Access to Remote License Managers.
- Enter the host name or IP address of the LM server in the Specify Search Parameters text box.
- Press Submit.

![Fig. 2.3: Simplified Network Diagram Showing Components of Same Subnet](image)

2.5 Update a Locally Installed Time Period License

In certain circumstances a time period limited license is used for local installation. In order to update the license once the time period has expired the following procedure will need to be completed using the Remote Update Service (RUS) utility:

- Collect computer data fingerprint information using RUS utility.
- Send the generated C2V file to pinch@hslu.ch for direct processing by PinCH management personnel.
• **Launch executable file** that you receive containing the updated data.

• Click the **Apply License File** tab. (This might be the only tab displayed.)

• Select the **Apply Update** button.

The RUS tool will be sent separately on an as needed basis.

### 2.6 Uninstalling PinCH

Before uninstalling PinCH, back up any project files or the custom layout file that you have created.

To uninstall PinCH:

1. Login to the machine with administrator rights or as a user with program installation privileges.

2. From the Windows **Start** menu, select **Control Panel**, or choose **Settings** and then **Control Panel**.

3. Select **Uninstall a program**.

4. In the list of currently installed programs, select **PinCH 2.0**.

5. Select the **Uninstall** button.
Chapter 3

PinCH Guided Tour

3.1 PinCH Processing Steps

In order to begin the guided tour of the PinCH software several important aspects of a pinch analysis study should be reviewed. The application of the pinch method is manual in nature; however, it provides a systematic and structured approach to the difficult problem of overall system design [1]. The method places a considerable amount of responsibility on the user to fully understand the process they are focused on optimizing. However, this action in itself provides a strong benefit as the design engineer speaks in terms of his or her process on a practical concrete level of understanding.

Fig. 3.1 shows the project flow diagram for a typical pinch study in industry [2]. The flow involves 3 major phases 1) mass and energy balance validation, 2) pinch method calculations and 3) new design change recommendations. The PinCH software mainly focuses on supporting the second phase involving targeting and heat exchanger network design calculations. The skills involved in the first and third steps can only be minimally supported and require the design engineer to develop the necessary skills in process engineering and unit operation design through learning and experience.
Fig. 3.1: Pinch Study Process
However, within the context of the pinch study calculations, ten major processing steps were identified that are typically done and are directly supported in the PinCH software. They are as follows:

1. Enter Stream Data
2. Configure Equipment
3. Define Processes
4. Apply Scheduling to Processes
5. Set Economic Data
6. Prepare Targeting Calculations
7. Analyze Energy Targets
8. Calculate Energy and Cost Targets
9. Integrate Energy Conversion Units
10. Design Heat Exchanger Networks

**Note:** On the Pich menu click on **Help > 10 Steps of PinCH** for a diagram of the 10 steps. The first step is clearly focussed on supporting phase 1 of the pinch study process in order to allow easy inputting of stream data and process requirements. However, steps 2, 3, 4 and 5 are unique in that they allow the complete definition of the process(es) from a static perspective. This definition allows the flexibility to manage different scenarios and variability studies involved in the pinch analysis calculations.

Steps 6, 7, 8 and 9 allow the configuration of result scenarios used in performing heat integration Targeting calculations. Finally, step 10 encapsulates the detailed design requirement for the HEN exchanger network based on the targeting results. The transition from 1 - 10 supports one of the golden rules of pinch analysis, which is:
3. PinCH Guided Tour

Targets Before Design

The structured set of steps and the support given by the PinCH software ease the challenge of cyclically analyzing the different options that can result in a study due to better information and understanding through to identified process changes. Each of these options require the repeating of the targeting and new HEN designs. PinCH manages each of these steps through the use of graphical user interface object visualizers. The key features include the ability to create complex plant design scenarios for the analysis of single continuous, multiple base case and single product batch processes. Once standard targeting calculations are complete detailed heat exchanger network grid diagrams can be created to produce minimum energy or relaxed designs. Some of these features will be introduced next.

3.2 Major Graphical Components of PinCH

When you open PinCH the application Workbench will be displayed. Fig. 3.2 shows a calculated result. In order to view these major graphical components shown please open a project case as follows:

1. Select File > Open Project on the main menu (see Fig. 3.2 point 1).

2. In the Open Project dialog navigate to (install directory) > PinCH > Tutorials and select the project FourStreamEx2.xml.

3. Select to expand the New Target Group node shown in the Target Explorer.

4. Select the Results tree node and right click to bring up the context menu and select Calculate Target Result with... > Separate Design Tool.

5. Select the check box under Costs in the OC Charts header bar of the resulting Target Result visualizer.
The PinCH workbench should now show the target result calculated for the given process.

Fig. 3.2: PinCH Workbench and Major Graphical Components

Referring to Fig. 3.2 the major components included are the following:

1. Main menu bar.

2. Project Explorer - used to define static information related to processes and their scheduling as well as global economic data.

3. Target Explorer - used to configure and manage the generation of results that are based on the information supplied in the project explorer.

4. Process Stream Table - data grid used to hold all stream related information derived from the data extraction process and used in calculating the targets and building HEN designs.

5. Utility Stream Table - data grid to hold all utility stream related information used in designing and optimizing the utility systems for a given target result.
6. Target Result Visualizer - used to encapsulate all results related to a particular targeting calculation. Results include the following:

   a. Overall Gantt Chart.
   b. Batch Gantt Chart or Weekly Operating Case (OC) Gantt Chart.
   c. composite curves.
   d. grand composite curve.
   e. balanced composite curve.
   f. balanced grand composite curve.
   g. cost curves.
   h. split grand composite curve.
   i. OC or Time Slice (TS) data grid to view targeting results. Here you can set the targets for a specific $\Delta T_{\text{min}}$ and size utilities.
   j. OC or TS Selector.
   k. Perspectives combo-box selector to change the layout of the charts, and grids based on the simple workflow of Orientation, Optimizing and Analyzing.

An additional base graphical component is the HEN grid as shown in the following figure.

In order to create and view the HEN please do the following:

1. Use the same open project file steps as used in creating Fig. 3.2.
2. Right click on the Sep. Design 1 created for the target result to view the context menu.
3. Select context menu Add HEN > Add MER HEN

The HEN grid for the particular minimum temperature difference will be displayed. You can now begin to design the heat exchanger network by placing heat exchange matches. Start at the pinch line to ensure the minimum energy requirement network is achieved. The details of each of the components are presented in subsequent sections. In addition, the reader is encouraged to read and learn about the principles of the pinch method in the several references listed at the end of this document.
3.3 Process Types Modelling

Industrial processes are typically distributed and dynamic in nature. As a result, a real world industrial plant often involves several production processes, possibly operated independently of each other, in one or several buildings or areas. They can be characterized into continuous as well as batch operation with various other scheduling opportunities spread between. In addition, even in cases when only one process is operated, it may feature some changes in the operating conditions over the year, either because of change in the climate conditions, or because of changes in the feed being processed and corresponding adjustments in the production recipes (e.g. flakes made from corn or from wheat, different colour of paint, etc.).

PinCH has been designed to allow such real world problem specificities to be taken into account, and modelled in a basic time scheduled manner. It provides the user with flexible tools to define these specifications, to decide which processes must be heat integrated together, and how to integrate them.
The following base process scheduling types are supported in PinCH:

### 3.3.1 Single Process Continuous

![Diagram Illustrating a Single Continuous Process](image)

This is the simplest process type commonly found in industry: constant process conditions of process P1 over the whole duration of operation of the process $DO_{P1}$. Note that since there is only one process the schedule has no influence.

### 3.3.2 Multiple Processes, Continuous And Synchronous

![Diagram Illustrating Multiple Processes, Continuous and Synchronous](image)

This case includes two or more synchronous processes, e.g. linked continuous processes contributing to the production of one or more products. A priori, the processes are not grouped in a single overall process because typically they are not close enough to each other on the site to allow direct heat integration, between streams of the different processes. As for the case described for single continuous, the processes start at an arbitrary time point of 0 and the schedule has no influence on the result as long as the processes are synchronous.
3.3.3 Multiple Processes, Continuous, Not Overlapped

Fig. 3.6: Diagram Illustrating Multiple Processes, Continuous, Not Overlapped

This case includes two or more continuous processes, not overlapped. The time difference between the end of P1 and the beginning of P2 may be variable. Therefore, it is assumed that heat integration between P1 and P2 is either not feasible, or uneconomic. As a consequence, the heat integration of P1 and P2 may be analysed independently of each other.

However, the above reasoning does not hold anymore, if P1 and P2 are processes organised on a weekly basis (often found in food industry), i.e. P1 operated at the beginning of each week, while P2 would follow during the second part of the week. In this case:

- heat integration through intermediate heat storage may be possible if the process pinch of P1 is significantly different from that of P2, and potentially profitable;
- the base period considered to define the schedule should not be one year, but one week (to correctly account for the number of heat storage cycles; an important issue for the profitability), and the user should then define the number of weeks per year this schedule is repeated.

As with the case described previously, this process may also start at 0. The schedule has no influence on the results as long as the processes are not overlapped.

Note: If the processes have different periods but are the same processes then the opportunity to design a heat exchanger network valid for all the base cases must be done.
3.3.4 Multiple Processes, Continuous, Overlapped

This case includes two or more continuous processes that partially overlap, which defines the operating cases (here OC<sub>1</sub>, OC<sub>2</sub>, and OC<sub>3</sub>, of duration DO<sub>OC1</sub>, DO<sub>OC2</sub>, and DO<sub>OC3</sub>, respectively). OC<sub>2</sub> introduces (as far as the heat integration between P1 and P2 is feasible and profitable) a link for optimization possibilities based on the heat integration of both processes. The significance of this link depends, among other things, on the duration of DO<sub>OC2</sub> and the associated improvement of the heat recovery target compared to that involving only the heat integration of P1 and P2 separately.

3.3.5 Single Process, Multiple Operating Cases

This process type scheduling can consists of several continuous processes following closely after each other sequentially. These types of Multiple Operating cases (MOC) can occur due to season changes that affect operation even though the process is the same product. It is assumed that heat integration between P1a, P1b and P1c is either not feasible, or uneconomic. As a consequence, the heat integration of P1a, P1b and P1c may be analysed
3.3 Process Types Modelling

independently of each other. However, the above reasoning does not hold if P1a, P1b and P1c are processes organised on a weekly basis (often found in food industry), i.e. P1a operates at the beginning of each week, while P1b would follow during the second part of the week. In this case:

- heat integration through intermediate heat storage may be possible if the process pinch of P1 is significantly different from that of P2, and potentially profitable;
- the base period considered to define the schedule should not be one year, but one week (to correctly take the number of heat storage cycles into account – an important issue for the profitability), and the user should then define the number of weeks per year this schedule is repeated.

**Note**: Indirect heat integration capabilities are not included in this version of PinCH.

### 3.3.6 Single Process, Batch

![Diagram Illustrating a Sequential Batch Process](image_url)

Fig. 3.9: Diagram Illustrating a Sequential Batch Process
This type of process consists of several batch processes following sequentially after each other. Direct heat integration between the batches P1a, P1b and P1c is not feasible. However, indirect heat integration would be a possible means to exchange energy between the time slices of each batch. In this case:

- heat integration through intermediate heat storage may be possible if the process pinch of P1 is significantly different from that of P2, and potentially profitable;
- the base period considered to define the schedule should not be one year, but one week (to correctly take the number of heat storage cycles into account – an important issue for the profitability), and the user should then define the number of weeks per year this schedule is repeated.

Note: Indirect heat integration capabilities are only partially supported in version 2.0 of the PinCH software (Split GCC, TAM graph and ISSP based on the residuals of the GCCs), but will be fully supported in a later release.
Chapter 4

Using the Process Stream Table

4.1 Importance of Proper Data Extraction

Data extraction is a very important activity in the overall pinch analysis project process. This project phase (see Fig. 3.1) involves a large effort to analyze an industrial process and derive the necessary stream information needed for a pinch analysis. In this first phase, the engineer is responsible for analyzing the entire boundary of operation of a given industrial process and to breakdown the structure into appropriate time and space dimensions. The assumptions made in organizing the structure is important to ensure an adequate level of modelling complexity is maintained, yet being certain to not exceed the necessary amount of details for an adequate analysis (i.e. attain a manageable level but still incorporate the key features for heat integration studies). As a result, the engineer will typically have to first identify the type of processes present, their location on the site relative to each other, any limits corresponding to the interfacing of these distinct processes, the range of products (recipes) and their different production lines (conditions and schedule) and finally include any special side processes (e.g. HVAC). Each of these process characteristics will generate different target results. More generally, it is often observed in practice that these characteristics influence the manner in which the process streams (or process requirements) are identified for use in the pinch analysis, depending on what can be assumed to be modifiable and what can not. This process stream analysis is a very challenging aspect of a pinch analysis, yet it provides immediate benefits even before the pinch calculations are performed.
Given the importance of data extraction, the PinCH program provides a convenient data grid component to allow the easy entering or importing of stream data and other features to aid the engineer in ensuring the data is complete and of high quality. The next sections provide details related to using the functionality available in the Process and Utility Stream Tables where the core process requirements are entered.

4.2 The Stream Table (Process Requirements)

The Process Stream Table contains the process requirements (stream data) established during the data extraction phase. In pinch analysis, these requirements are represented through the common definition of *Hot Streams to be cooled down and Cold Streams to be heated up* in a system.

It is assumed the physical properties of a stream remain relatively constant in most circumstances. However, this is not always true (e.g. crude oil or humid air) and a stream can be divided into one or more segments in order to provide improved accuracy in calculating the pinch analysis target values.

Each stream and its associated segments are stored along with the specific parameters such as temperature, pressure, mass flow, etc. in the project file. These values are read upon opening the project file and displayed as shown in Fig. 4.1.
4.2 The Stream Table (Process Requirements)

As shown in Fig. 4.1 the major components included are the following:

1. Main Process Stream Table - A complete listing of streams and segments.
2. Stream Row - A summary of the major parameters associated with the bulk stream properties.
3. Segment Row - The specific parameters associated with the section of the stream where either a major change in $C_p$ or a change in phase occurs.
4. Process Stream Table Context Sensitive Menu - The menu listing can be shown by right clicking on a row in the Process Stream Table. This context menu is the key entry point to execute commands against the stream table. The commands are as follows:
   a. Add Continuous Process Stream
   b. Add Batch Process Stream
   c. Add Process Stream Segment
   d. Copy Process Stream
   e. Remove Process Stream
   f. Remove Process Stream Segment
   g. Properties
5. Working Stream Table - This table displays the streams assigned to a particular process grouping (please see section 5).

In addition to the Process Stream Table, there is also the Utility Stream Table. This table is similar to the Process Stream table, but contains only the necessary information to calculate the required mass flowrate of a utility for a given heat flow. Since each Target Result (see sections 6, 7 and 8) calculates the required hot and cold duty requirements, only the physical properties necessary to define the utility are needed. It should be noted a stream is considered a utility if it supplies or removes energy over a system boundary, but itself can be replaced easily with another utility stream. In this case it is not to be considered as part of the process and should be placed into the Utility Stream Table.

In both the Process Stream Table and Utility Stream Table, the streams can be shifted upwards or downwards in the data grid. This can be done by pressing Alt + up/down Arrows to reposition the streams accordingly.

4.3 Defining Streams

The definition of streams and their associated segments consist primarily of two main types: Simple and Fluid. The most basic yet most important is a Simple stream, which can be defined in a flexible and direct manner based on either the streams heat capacity flowrate (CP) or the heat of vaporization/condensation (phase change). Fluid streams are based on internally calculated physical property calculations for water, common refrigerants (e.g. R134a) and humid air. By simply choosing the required fluid under the Fluid column (Fig. 4.1), PinCH will automatically calculate the necessary physical property data and segment the stream according to the phases present [3 - 4]. The base stream parameters are defined in the following tables for both the Process and Utility Stream Tables:
4.3 Defining Streams

Tab. 4.1: Process Stream Table Properties

<table>
<thead>
<tr>
<th>Process Stream Parameters</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Stream or segment name</td>
<td></td>
</tr>
<tr>
<td>Hot / Cold</td>
<td>Designation if stream or segment is hot or cold</td>
<td></td>
</tr>
<tr>
<td>$T_{in}$</td>
<td>Stream or segment starting temperature</td>
<td>°C</td>
</tr>
<tr>
<td>$T_{out}$</td>
<td>Stream or segment end temperature</td>
<td>°C</td>
</tr>
<tr>
<td>$m$</td>
<td>Stream or segment mass flow rate</td>
<td>kg/s</td>
</tr>
<tr>
<td>$C_p$</td>
<td>Segment specific heat capacity</td>
<td>kJ/kg K</td>
</tr>
<tr>
<td>Phase Change</td>
<td>Segment heat of vaporization, condensation or fusion (simple streams only)</td>
<td></td>
</tr>
<tr>
<td>$\alpha$</td>
<td>Segment heat transfer coefficient</td>
<td>W/Km²</td>
</tr>
<tr>
<td>$p$</td>
<td>Absolute stream pressure</td>
<td>bar</td>
</tr>
<tr>
<td>$CP$</td>
<td>Heat capacity flow rate</td>
<td>kW/K</td>
</tr>
<tr>
<td>$\Delta H$</td>
<td>Heat flow of a stream or segment</td>
<td>kW</td>
</tr>
<tr>
<td>Fluid</td>
<td>A selection list to choose between simple, water, refrigerant or humid air</td>
<td></td>
</tr>
<tr>
<td>Fluid</td>
<td>A selection list to choose between simple, water, refrigerant or humid air</td>
<td></td>
</tr>
<tr>
<td>Fluid</td>
<td>stream types</td>
<td></td>
</tr>
<tr>
<td>Humidity Ratio In</td>
<td>The water content of an air stream at its inlet conditions</td>
<td>kg H₂O / kg dry Air</td>
</tr>
<tr>
<td>Soft</td>
<td>Designation if a stream or segment can be included optionally into the</td>
<td></td>
</tr>
<tr>
<td></td>
<td>targeting calculation</td>
<td></td>
</tr>
<tr>
<td>$t_{Start}$</td>
<td>Stream existence start time (absolute)</td>
<td>hour</td>
</tr>
<tr>
<td>$t_{Stop}$</td>
<td>Stream existence end time (absolute)</td>
<td>hour</td>
</tr>
</tbody>
</table>
Tab. 4.2: Utility Stream Table Properties

<table>
<thead>
<tr>
<th>Utility Stream parameters</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Stream or segment name</td>
<td></td>
</tr>
<tr>
<td>Hot / Cold</td>
<td>Designation if stream is hot or cold</td>
<td></td>
</tr>
<tr>
<td>$T_{in}$</td>
<td>The starting temperature of a stream</td>
<td>°C</td>
</tr>
<tr>
<td>$T_{out}$</td>
<td>The ending temperature of a stream</td>
<td>°C</td>
</tr>
<tr>
<td>$C_p$</td>
<td>Stream or segment specific heat capacity</td>
<td>kJ/kg K</td>
</tr>
<tr>
<td>Phase Change</td>
<td>Heat of vaporization or condensation (simple streams only)</td>
<td>kJ/kg</td>
</tr>
<tr>
<td>$\alpha$</td>
<td>Heat transfer coefficient</td>
<td>W/K m$^2$</td>
</tr>
<tr>
<td>$p$</td>
<td>Absolute stream pressure</td>
<td>bar</td>
</tr>
<tr>
<td>Utility Cost</td>
<td>Specific cost of the utility stream</td>
<td>CHF/kWh</td>
</tr>
<tr>
<td>Fluid</td>
<td>A selection list to choose between simple, water, refrigerant or humid air stream types</td>
<td></td>
</tr>
<tr>
<td>Humidity Ratio In</td>
<td>The water content of an air stream at its inlet conditions</td>
<td>kg H$_2$O / kg dry Air</td>
</tr>
</tbody>
</table>

**Specifying Streams (Degrees of Freedom):**

In order to fully specify a stream to allow calculation of the heat flow necessary in the pinch analysis calculations, not all information must be set. The Stream Tables **automatically check the degrees of freedom** to see if enough information is already available to calculate the remaining energy related variables ($\dot{m}$, $c_p$, $CP$, $\Delta \dot{H}$). Each stream or segment row is entirely flexible in the combinations that can be supplied. However, the most common is to provide the $\dot{m}$ and the $C_p$ (for sensible heat streams or segments). However, the heat flow or the $CP$ values can also be directly given.

The $\dot{m}$ and phase change are most commonly entered for streams or segments that experience a phase change such as condensation or evaporation. However, the heat flow can be directly entered if known.

**Specifying Streams (Bubble and Dew Point):**

Fluid streams calculated based on the steam tables (e.g., water) or based on the equation of state in [4] (e.g., R134a, etc.) can be specified to be exactly at their boiling or dew points. This specification can be for either the stream inlet or outlet state and is done by using the common thermodynamic notation for quality ($x_0$ for boiling point and $x_1$ for dew point). To force the
calculation of the bubble or dew point condition, simply enter either of these values for $T_{in}$ or $T_{out}$. PinCH automatically determines the exact bubble point temperature or dew point temperature based on the underlying fluid thermodynamic model as well as the $\Delta H$ based on the given $\dot{m}$.

**Note:** The quality can be specified over the range from $x_0$ to $x_1$ to allow partially condensed states to be modelled.

## 4.4 Configuring Fluid Types

The Fluid column shown in the Stream Tables allows the user to select a specific component in order to automatically calculate the representative streams and segments. The following table 4.3 lists the components that are available.

The calculation of the water and refrigerant components are handled internally using the listed calculation procedures.

The humid air partial condensation process is modeled by assuming ideal conditions. In a first step, the gas is assumed to be cooled completely to the dew point. A second step divides the remaining temperature range into equal segments and calculates the average $C_p$ in each interval for the combined air and condensate mixture. The segmented stream can be calculated simply by selecting humid air and setting appropriate pressure and temperature levels.

**Note:** The mass flow rate of the humid air fluid is calculated and displayed on a **dry basis** as is the norm in engineering calculations.
## Tab. 4.3: Fluid Types

<table>
<thead>
<tr>
<th>Fluid Type</th>
<th>Name</th>
<th>Calculation Basis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water</td>
<td>H₂O</td>
<td>Stream properties calculated using the Steam Tables</td>
</tr>
<tr>
<td>Humid Air</td>
<td></td>
<td>Stream properties calculated for an air stream that undergoes partial condensation</td>
</tr>
<tr>
<td>R134a</td>
<td>1.1.1.2-tetrafluoroethane</td>
<td>Properties calculated using the Lee and Kesler [4] equation of state</td>
</tr>
<tr>
<td>R245ca</td>
<td>1.1.2.2.3-pentafluoropropane</td>
<td>Properties calculated using the Lee and Kesler [4] equation of state</td>
</tr>
<tr>
<td>R245fa</td>
<td>1.1.1.3.3-pentafluoropropane</td>
<td>Properties calculated using the Lee and Kesler [4] equation of state</td>
</tr>
<tr>
<td>R404A</td>
<td>R125 / 143a 134a (44/52/4)</td>
<td>Properties calculated using the Lee and Kesler [4] equation of state using pseudo mixture properties</td>
</tr>
<tr>
<td>R600a</td>
<td>Iso-Butane</td>
<td>Properties calculated using the Lee and Kesler [4] equation of state</td>
</tr>
<tr>
<td>R723</td>
<td>NH₃ Dimethylether (60/40)</td>
<td>Properties calculated using the Lee and Kesler [4] equation of state using pseudo mixture properties</td>
</tr>
<tr>
<td>R744</td>
<td>CO₂</td>
<td>Properties calculated using the Lee and Kesler [4] equation of state</td>
</tr>
</tbody>
</table>
4.5 Configuring Utility Streams

In PinCH, process streams and utility streams are considered separately. A utility is defined as a stream that is used to provide the necessary energy requirements for heating and cooling the process being analyzed. Most significantly these are streams that are not necessary for the process to produce the product. A corollary to this statement is that a utility stream is one that can be replaced by any other utility stream as it is not part of the process.

A typical site utility is steam that can be used to exchange energy in a heat exchanger with other process streams. However, steam could be injected directly into a distillation column to replace the function of a reboiler in addition to providing additional stripping effects. In this case, the steam is more commonly considered as a process stream and not a utility.

Definition of utility streams is done on a separate window from the standard process streams. These streams are characterized by the fact that no mass flow nor heat flow can be entered (as it is in the Process Stream Table). The reason is that utility streams belong to a particular target result (see section 6) and the corresponding composite curve. Their heat flow is calculated directly based on the selected $\Delta T_{\text{min}}$ of the composite curves resulting in the direct determination of the required mass flow for the given heat capacity or phase change value. These calculations are handled internally and the user only needs to supply the state properties of the utilities they require (see Fig. 4.2).

![Utility Stream Table Showing Multiple Utilities. Mass Flow and Heat Flow Are Not Given](image-url)
4.6 Configuring Soft Streams

The concept of a soft stream relates to the fact that some process streams often are left on their own to equilibrate with the conditions of the environment. Examples include streams that are sent directly to tanks to cool or exhaust air vented to atmosphere. However, it may be beneficial to use a portion of such streams for additional energy recovery. Therefore, it is of value to assess in a process integration study if it is worthwhile to use a portion of this energy. As a result, the Soft parameter is included with process streams to allow the ability to easily check for energy integration possibilities with such soft parts of streams.

Within the target result (see section 6) the part of the stream that is considered hard or soft is taken into consideration in the targeting calculation where the soft part of the stream is excluded. On the process Stream Table the selection of the parameter soft only indicates the stream can potentially be used as a soft stream. The designation of how much of the stream is soft is done in the target result window (see section 6).

4.7 Importing and Exporting

The importance of being able to import from such spread sheeting software such as Excel is important for many engineers and technical employees as they often use such software for storing and calculating process related information. As a result, the Process Stream Table can be imported from a simple csv formatted file to allow easy insertion into the PinCH Process Stream Table regardless of the source. Given the prevalence of Excel in the marketplace the following example illustrates how to export and then import to Excel.

Exporting from the Process Stream Table:

1. Select **File > Open Project** and then open the FourStreamEx1.xml located in the Tutorials directory.
2. Select the **Process Stream Table** tab.
3. Select **File > Export Stream Table** to show the **Export Stream Table To...** dialog.
4. Name and store the Comma Separated Values (csv) format file on to your desktop.
5. Start Excel and select File > Open.

6. In the Open Dialog, change the file type to All Types.

7. In the Open Dialog, navigate to the desktop and select the saved csv format file that was exported in step 3.

The Process Stream Table information should now be displayed along with the headers showing the column names and units. Even though the data has been saved in a csv format, the saved format can be easily changed using the File / Save As menu item in Excel.

Note: Fluid streams are exported with only their bulk stream properties. As a result, any custom entered segment specific properties (e.g. $\alpha$) will not be stored if the there is more than one segment.

Importing to the Process Stream Table:

In order to import the Process Stream Table into PinCH from Excel, the same format as seen after exporting will need to be used. Therefore, by simply exporting the data to see the format, the data can be easily set up in Excel and then Imported using the following steps.

1. Select File > New Project.

2. Select File > Import Stream Table to show the Please choose a Stream Table to Import dialog.

3. Ensure the csv file that you wish to import is not open in another program before proceeding.

4. Navigate to the desktop and select the saved csv that was exported previously.

The data stored in the csv will be automatically loaded into the Process Stream Table and ready to be used in completing the pinch analysis calculations.

Note: Importing of stream data from a csv file will be appended to the end of existing streams already entered into the stream table.

To best understand how the fixed header structure needs to be configured, it is recommend to simply complete a test export beforehand. By simply setting up stream examples in PinCH first and exporting them, you will be
able to see the necessary header as well stream/segment structure that is needed. Afterward, you will be able to manually configure streams in Excel and import them successfully into the software.

**Note:** In addition to the stream table data, any assigned processes that the stream belong to can be exported or imported. In addition, the stream specific equipment data (equipment name, pre and post processing times) are also included in the export and import data.

A new feature has been added to allow the exporting of the area matrices internally calculated for each multiple operating case (OC) or batch time slice (TS).

To Export Area Matrices:

1. Create a Target Result (see section 6).
2. Right click on the node of the created Target Result and select Export... -> **Area Match Matrices**.
3. On the Export Area Match-Matrices dialog select the OC/TS of interest.
4. Set the starting and ending $\Delta T_{\text{min}}$ values and step size to be used in creating the set of Area Match-Matrices.
5. Select **Export** to generate the matrices.
6. Save the generated csv file to an appropriate location.
Chapter 5

Configuring the Project - Orchestrate Streams

5.1 Introduction

During the data extraction phase (see Chap. 3.1 Fig. 3.1) a structured breakdown of individual processes within an industrial site can be identified. This structure defines the time and space boundaries as well as any limitations to interlinking between these boundaries and other constraints. PinCH supports the configuration and modeling of such structures through the definition of Processes. Processes contain the assignment of the stream requirements that are identified to be encapsulated within a single process.

PinCH also allows the definition of equipment. Each stream can be considered to belong to a piece of equipment that can be taken into consideration when calculating total investment costs where multiple operating cases or batch time slices exist (see sections 7 or 8).

Finally, scheduling on a time basis can be done in order to perform studies such as multiple base case analysis. In addition, sets of global economic data can be defined that will be applied across all groupings of processes. These sets contain specific power law cost equation parameters for different heat exchangers as well as general site investment and operational cost parameters. Combined together with the processes as well as the scheduling, the energy-capital trade-off through heat recovery using a heat exchanger network can be calculated before any detailed design (see section 6).
5. Configuring the Project - Orchestrate Streams

5.2 How to Create and Configure Equipment

Equipment items are the locations where the streams actually exist or flow. They are important for calculating the heat exchanger area that can be reused between multiple operating cases or batch time slices. The mapping of streams between multiple operating cases or batch time slices is done by sharing the equipment. Each stream can be considered to belong to a piece of equipment that can be taken into consideration when calculating total investment costs ensuring common heat exchanges are properly accounted for (see sections 7 or 8).

Note: Pieces of Equipment are automatically assigned and stored under the Individual node on the Project Explorer when a stream is created. A new piece of equipment is only required when there is a need to share it between two or more streams.

To Add a New Equipment:

1. Select the **Project Explorer**.
2. Right click on the Equipment node and select **Create New Equipment**.
3. Expand the Individual node to see the added equipment.
4. Select the newly created equipment listed under the Individual node and press **F2**.
5. Rename the node as required.
6. Select the **Properties** window to set the parameters for selected equipment.

To Share an Equipment Between Streams:

1. Select a **stream** in the Process Stream Table.
2. Select the **Property window** to show the Stream properties.
3. Change the Equipment combo-box value to the desired shared equipment.
4. Repeat steps for a second stream to complete sharing.
5.3 How to Create Processes, Multiple Operating Cases Group and Assign Streams

Create a new process by using the PinCH Project Explorer dockable window. The project explorer encapsulates all the necessary functionality used to define the necessary static information for an industrial site (Fig. 5.1). The most critical first step is to create the processes defined as subsystems of the site and then assign streams.

![Fig. 5.1: Project Explorer](image)

To create a Process:

1. Select and highlight the **Processes** node in the **Project Explorer**.
2. Right click on the **Processes** node to view the context menu and select **Add Process**.
3. Select the newly created process listed under the **Processes** node and press **F2**.
4. Rename the node as required.

To Assign Streams to a Process:

1. Ensure to first select and highlight a created process listed under the **Processes node** (see Fig. 4.1).
2. Select the **Process Stream Table** tab.
3. Select **a stream** in the upper Stream Table.
4. Click with the left mouse button on the stream and **hold and drag downwards** to the working Stream Table.
5. Release the mouse button to assign the stream to the process.
6. Repeat for the number of streams to be assigned to the process.

**Note**: More than one stream can be selected by simply holding down the Ctrl button and then selecting the streams as required. In addition, the shift button can be selected to multi-select in a single batch as commonly done in Windows Explorer.

As reviewed in section 3.3.5 it is possible to configure multiple operating cases consisting of the same streams, but with different states (e.g. summer/winter). In order to use these streams at different states and therefore, have a shared equipment, a Multiple Operating Cases (MOC) Group has to be used. This special type of processes grouping allows for proper calculation of area targets when using the combined supertargeting tool. In addition, the group is needed when using the same streams in multiple processes that do not overlap in time yet need to be assigned to the same Target Group.

To Create a Multiple Operating Cases Group:

1. Create two processes as described in above.
2. Create two streams and share them in the same equipment as shown in section 5.2.
3. Assign the two shared streams - one to each process - as described above.
4. Assign several other non-shared streams to the two processes as described above.
5. Select and highlight the Processes node in the Project Explorer.
6. Right click on the Processes node to view the context menu and select **Add Multiple Operating Cases Group**.
7. Select the newly created process listed under the Processes node and press **F2**.
8. Rename the node as required.
9. Right click on the newly added MOC Processes Group and select **Assign Processes...** and select one of the created processes.
10. Repeat for the second process to complete the assignment of the MOC processes group.
Note: The processes can be automatically assigned or de-assigned from the MOC group as required. The assignment is important to ensure that streams are never allowed to overlap in time, yet still allow the configuration of different operating states of the same process. PinCH automatically performs the checks as needed and resets the schedule of each process if an overlap in process times occurs.

5.4 How to Create and Configure Operating Cases Schedules

Schedules are used to configure the time dependency of either continuous or batch processes. This step is important in ensuring the correct operational times and the resulting operational costs are calculated.

To create a schedule or sets of schedules, the Operating Cases Schedules node within the Project Explorer node can be used. On this dialog are given the fields to set the time dependent data for configuring either a continuous, semi-continuous or batch process.

To create a New Operating Cases Schedule:

1. Ensure at least one continuous or batch process has been added (see section 5.3).
2. Select and highlight the Operating Cases Schedules node in the Project Explorer.
3. Right-click on the Operating Cases Schedules node to view the context menu and select Add Operating Cases Schedule.
4. Select the newly created schedule listed under the Operating Cases Schedules node and press F2.
5. Rename the node as required.
6. For continuous processes change the TimeBase combo-box from Cont. Yearly to Cont. Weekly or Cont. Daily to designate as semi-continuous. Modify the time parameters as required.
7. For batch processes change the TimeBase combo-box to change between batch schedules daily, weekly or yearly basis. Modify the time parameters as required.

On the Operating Cases Scheduling visualizer are several data grids and graphics. The user can enter the configuration data in one data grid for either a continuous/semi-continuous process or in another data grid for batch
processes. In addition, the overall Gantt chart as well as the weekly production campaign chart can be viewed. These charts help the user to graphically see the data configured in the two data grids to ensure correct data entry. Finally, for batch processes the equipment-wise repeat operation period Gantt chart is also available to provide a check on the configured batch stream data (tstart and tstop values). The following figure illustrates the operating cases scheduling for multiple continuous processes.

As shown in Figure 5.2 a Continuous Processes data grid is shown that must be used to configure the necessary scheduling data of each individual process (please section 8.2 for how to schedule a batch process). The data shown in the continuous scheduling grid are summarized in the following table 5.1.
### Tab. 5.1: Continuous Process Operating Cases Scheduling Data Grid Values Table

<table>
<thead>
<tr>
<th>Scheduling Grid Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Process</td>
<td>The name of each process to be scheduled as defined in the project explorer.</td>
<td></td>
</tr>
<tr>
<td>Time Base</td>
<td>The selected type of continuous process either continuous (Cont. Year) or semi-continuous (Cont. Weekly or Cont. Daily)</td>
<td></td>
</tr>
<tr>
<td>Mo</td>
<td>Monday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Tu</td>
<td>Tuesday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>We</td>
<td>Wednesday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Th</td>
<td>Thursday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Fr</td>
<td>Friday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Sa</td>
<td>Saturday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Su</td>
<td>Sunday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Daytime Start hh:mm</td>
<td>The actual start time in the selected of the week given in hours and minutes of the day.</td>
<td>hh:mm</td>
</tr>
<tr>
<td>CW Start</td>
<td>The calendar week in the year at which the process starts.</td>
<td></td>
</tr>
<tr>
<td>No. Weeks</td>
<td>The number of weeks a semi-continuous processes is in operating (Cont. Weekly or Cont. Daily).</td>
<td></td>
</tr>
<tr>
<td>CW Start</td>
<td>The calendar week in the year at which the process starts.</td>
<td></td>
</tr>
<tr>
<td>Duration</td>
<td>Either the yearly duration of single continuous process or the weekly/daily duration of a semi-continuous process.</td>
<td>h</td>
</tr>
</tbody>
</table>
5. Configuring the Project - Orchestrating Streams

Continuation of table:

<table>
<thead>
<tr>
<th>Scheduling Grid Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prod. Campaign Start</td>
<td>The calculated absolute start time in the year for the selected process.</td>
<td>h</td>
</tr>
<tr>
<td>Prod. Campaign End</td>
<td>The calculated absolute end time in the year for the selected process.</td>
<td>h</td>
</tr>
<tr>
<td>Gross Op. Time</td>
<td>The calculated time difference between the absolute start and end time over the year.</td>
<td>h/a</td>
</tr>
<tr>
<td>Net Op. Time</td>
<td>The actual time in operation of the selected process over the year.</td>
<td>h/a</td>
</tr>
</tbody>
</table>

5.5 How to Create and Configure Global Economic Data

The Project Explorer can also be used to define different versions or sets of the global economic data as shown in Fig. 5.3.

On this entry dialog are given the heat exchanger power law equation parameters for process-process, cold utility-process and hot utility-process stream matching. In addition, the global energy prices as well as general site wide operational and investment cost are given. These cost parameters are used together in deriving the targeting cost result based on the area and number of units distributions as shown in Appendix A.1.

Note: The default cost base factor $C_b$ shown in the figure 5.3 contains an installation factor of three for each of the heat exchangers shown. The value is based on standard carbon steel construction typical of the early 1990’s. Nevertheless, it is always an important task during a pinch analysis to determine relevant cost data for the appropriate time frame and materials of construction.

To create a New Global Economic Data:

1. Select and highlight the Economic Data node in the Project Explorer.
2. Right click on the Economic Data node to view the context menu and select Add Economic Data.
3. Select the newly created economic data listed under the Economic Data node and press F2.
### 5 Economic Data

**Energy Cost**

<table>
<thead>
<tr>
<th></th>
<th>Electricity</th>
<th>CHF/MWh</th>
<th>Electric Power</th>
<th>kW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Process Heat Exchanger Cost</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$C = a + \frac{C_b}{Q_b}$</td>
<td>0</td>
<td>CHF</td>
<td>0.71</td>
<td></td>
</tr>
<tr>
<td>$C_b$</td>
<td>0.000</td>
<td>CHF</td>
<td>$Q_b$</td>
<td>100</td>
</tr>
<tr>
<td>Host Utility Heat Exchanger Cost</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$C = a + \frac{C_b}{Q_b}$</td>
<td>0</td>
<td>CHF</td>
<td>0.71</td>
<td></td>
</tr>
<tr>
<td>$C_b$</td>
<td>0.000</td>
<td>CHF</td>
<td>$Q_b$</td>
<td>100</td>
</tr>
<tr>
<td>Cold Utility Heat Exchanger Cost</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$C = a + \frac{C_b}{Q_b}$</td>
<td>0</td>
<td>CHF</td>
<td>0.71</td>
<td></td>
</tr>
<tr>
<td>$C_b$</td>
<td>0.000</td>
<td>CHF</td>
<td>$Q_b$</td>
<td>100</td>
</tr>
</tbody>
</table>

**Investment Cost**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th>CHF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pay-off period</td>
<td>0</td>
<td>y</td>
<td>Independent</td>
</tr>
<tr>
<td>Interest rate</td>
<td>30</td>
<td>%</td>
<td>Personnel</td>
</tr>
<tr>
<td>Annuity</td>
<td>0.0402</td>
<td>1/y</td>
<td>Maintenance</td>
</tr>
</tbody>
</table>

*Note: Utility Costs Are Set on Utility Stream Table*

---

4. Rename the node as required.
5. Change the parameters as required.

**Note:** The annuity factor is calculated and updated automatically once a new Pay-off Period or Interest Rate is entered.
Chapter 6

Single Continuous Process - Optimize for Energy & Cost

6.1 Introduction

Targeting calculations are a central part of a pinch analysis study. The significance of such calculations lies in the fact that a great deal of useful information can be calculated using only the stream based data established in the data extraction and energy modeling efforts. No detailed design needs to be completed at this part of the study which allows for rapid iterations in evaluating energy integration possibilities.

The main goal of the targeting calculations is to establish the optimum cold and hot utility requirements for a given set of process requirements as established during the data extraction phase (see section 4). In addition, the area and number of units distribution can also be established. The thermal, economic and time dependence data configured in the project explorer (see section 5) provide the foundation for these calculations and the link between the thermodynamic requirements with the economic realities. The theory and fundamental equations used in targeting calculations are not covered in the following sections. However, the user is recommended to review the following references for more information: [5 - 10].

In the PinCH software, several key application components are used for creating and analyzing targeting results. The first is the Target Group where specific economic data, schedules, processes and utilities can be selected to calculate a Target Result. These components provide the basis for creating scenarios used in the iterative procedure often necessary for determining the
most relevant target result. The details of these and other components are presented in the next sections.

**Note:** The following sections are for a single continuous process. See section 7 and 8 for information related to multiple operating cases and batch processes.

### 6.2 How to Create and Configure a Target Group

A new Target Group can be created using the Target Explorer. A Target Group represents in principle a grouping of scenarios and encapsulates all the necessary functionality used in configuring and calculating the dynamic information used in analysis (Fig. 6.1). The first step is to create a grouping of processes that are to be analyzed within a Target Group. More than one process can be selected which enables the analysis of processes that exist at the same time (i.e. zonal targeting assessment) or that for multiple operating cases (MOC - see section 7).

**Note:** Streams are not allowed to be added to the same Target Group more than once and the user will be prevented from doing so automatically!
6.3 How to Create a Target Result

To create a Target Group:

1. Select and highlight the **BaseCase node** in the Target Explorer.
2. Right click on the **BaseCase node** to view the context menu and select **Add Target Group**.
3. Select the newly created Target Group listed under the **Processes node** and press **F2**.
4. Rename the node as required.

---

**6.3 How to Create a Target Result**

A Target Result is the central calculation object created when calculating the energy, area and unit targets used in performing a pinch analysis. However, as reviewed in section 3, there can exist differences in the time dependent nature of the underlying process streams that can result in two main result types - continuous and batch/multiple operating case (MOC). In the following subsections only a single continuous process target result is created. Please see sections 7 and 8 for information related to multiple operating cases and batch processes.
Continuous target results consist primarily of a single process or a grouping of multiple processes that are synchronized in time. All streams exist over the same time duration resulting in the creation of a single composite curve. Analysis is straightforward as it is assumed that each stream can potentially exchange energy with all other streams of the opposite hot or cold designation.

To Create Target Result for a Continuous Process:

1. Create a **Target Group**.
2. Select and highlight the Target Group node in the Target Explorer.
3. Right click on the Target Group node to view the context menu and select **Reassign Operating Cases Schedule** and re-assign a schedule as needed.
4. Right click on the Target Group node to view the context menu and select **Reassign Economic Data** and re-assign an economic data as needed.
5. Right click on the **Processes node** and select a process to be used in the analysis. Repeat for any additional processes to be combined together for the analysis.
6. Right click on the **Utilities node** and select a default hot and a default cold utility (see note given below).
7. Right click on the **Results node** and select **Calculate Target Result with... > Separate Design** to create the target result.
8. All the nodes can be renamed after pressing F2.

**Note:** It is critical to have created at least one hot and one cold stream in the Utility Stream Table. Please be certain to ensure the respective temperature ranges of these utilities provide enough driving force for the given process streams. These two utilities will need to be assigned as the default hot and cold utility in order to allow the internal energy balance to be closed. This is particularly important in the case of adding multiple utilities used in optimizing such systems.

After completing the above, a window will be created and anchored on the workbench with a tab. This window encapsulates the entire targeting result for the selected tool (in this case the Separate Design Tool) (Fig. 6.2). By selecting check boxes on the OC Charts header, the composite curve, grand composite curve, balance composite curve, balanced grand composite curve, cost curve as well as the Gantt chart can be selected. Each will display automatically in the upper portion of the window while the specific targeting
result for a given $\Delta T_{min}$ is shown and is editable on the OC Data grid at the bottom of the window. The results for the composite curve (only includes the default hot and cold utility) and the balanced composite curve (includes the default hot and cold utility as well as any additional internal sized utilities) are shown on the Results Panel.

A summary row has been included to show the cumulative sum over all the OCs or TSs for the area, number of units and all the costs based only on the default utilities. If internal utilities have been assigned then two rows per OC or TS are shown to show the difference in targets (CC and BCC). If no internal utilities are assigned then only the composite curve (CC) result is shown.

Heat flow values for internal utilities (i.e. non-default) can be entered directly in a Target Result to show the effect on the composite curves. Typically this done to assess the cost effect of using an optimized set of utilities or to assess the effect of the existing utilities. See section 6.5 for more information on how to enter heat flow values for internal utilities.

Fig. 6.2: Continuous Process Separate Design Tool Target Result
6.4 How to Manage Targeting Results

One of the key advantages of the Targeting Explorer is the flexibility it gives to compare different Target Results. For any particular Target Group multiple Target Results can be created and then automatically saved under the particular Target Group tree node. A structure of test cases can be saved directly and easily used in comparing the results of each to find the best energy integration option.

Creating Multiple Target Results:

1. Create a Target Result as described in subsection 6.3.
2. Right click on the Results node and select Calculate Target Result with... > Separate Design to create a second target result.
3. All the nodes can be renamed after pressing F2.
4. Change the $\Delta T_{\text{min}}$ in the new Target result to a different value.
5. Repeat for required number of different $\Delta T_{\text{min}}$ values.

Note: Additional target results can be created when an ECU (see section Energy Conversion Unit), soft streams or multiple utilities (see section 4) are included with a particular Target Result.

Comparing Multiple Target Results:

1. Create Multiple Target Results as described earlier.
2. Right click on each of the created target result nodes and select Open Target Result with Separate Design.
3. Ensure all the target results windows are displayed as tabs in the center docked position.
4. Left click on one of the target result tabs and hold and drag to reposition. The dockable window anchor points will be displayed on the workbench (see Fig. 6.3).
5. Position the cursor while dragging over the right most anchor point.
6. The target result window will be placed beside the other target results still in the original center position (see Fig. 6.4).
7. Reposition the window edges by clicking with the left button to shift the edges.
6.4 How to Manage Targeting Results

The management of Target Results also includes the handling of changes to the underlying data that affect a result. In PinCH any change such
as a stream table parameter change, economic data change or schedule change will result in the previously saved Target Results no longer being synchronized with the changed data. In most cases open Target Results will be automatically synchronized when a change in for example the stream table is made. However, changing a utility stream alpha will not be automatically updated and will require the Target Result to be manually synchronized by closing and re-opening the Target Result.

Handling Changes to Data That Affect Target Results:

1. Create a **Target Result**.
2. Change a stream table parameter in the Process Stream Table for one of the streams in the process.
3. A message box confirming the change results is displayed (Fig. 6.5). Select **Yes**.
4. The HEN Grid icon is displayed in red to indicate it is no longer synchronized with the underlying stream data (also when the $\Delta T_{min}$ is changed).

![Fig. 6.5: Dialog Confirming Change that Affects All Dependent Target Results](image)

**6.5 Analyzing Results**

The data derived from a Target Result can be viewed either on the OC Charts displayed or in the OC Data grid shown at the bottom of the window containing each Target Result (see Fig. 6.2). The charts include the Composite Curve (CC), Grand Composite Curve (GCC), Balanced Composite...
Curve (BCC), Balanced Grand Composite Curve (BGCC), Cost Curves and the Split Grand Composite Curve (Split GCC). The cost curves include a breakdown of the annual investment cost curve into the investment cost for internal process-process heat exchangers, CU-process heat exchangers and the HU-process heat exchangers.

Several characteristics related to the OC Data are to be noted regarding the display. First, there are two rows displayed for a single operating case or time slice. Fig. 6.6 shows the two rows. The first row corresponds to the targeting result information based on the CC graph where only the default hot and cold utilities are added at the end of the composite curve. No other utilities are allowed. The second row is optional and is based on the balanced composite curve (BCC) that includes any internal utilities (in addition to the default utilities) that have been included in the composite curve. This data row is only shown when at one additional utility outside of the default utilities has been included and sized (based on the GCC).

Fig. 6.6: Result Panel For a Continuous Process Target Result With a Single Operating Case And Two Rows For CC and BCC

Adding and Sizing Internal Utilities:

The default utilities (both hot and cold) must always be added to a Target Group. This ensures that the energy balance for each associated Target Result can be completed and the proper cost can be calculated. In order to include multiple "internal" utilities, additional hot and cold utilities can be added to the Utility Stream Table and assigned to the Target Group along with the default hot and cold utilities. These internal utilities can then be sized. The heat flow of each internal utility must be specifically set and can be typically derived from the GCC.

How to Size Internal Utilities:

1. Create a Target Result as described in section 6.3.
2. Add an additional utility stream to the Utility Stream Table.
3. Assign the newly added utility stream to the Target Group.
4. Add a new Separate Design Target Result.
5. Right click on the CC row within the OC Data or TS data grid and select **Size Utilities**. Optionally, the properties window tab can be
first selected and then the CC or BCC row selected to display the Cold Utility/Hot Utility Sizing text entry boxes.

6. Enter a heat flow value for the internal utility in the associated text entry box. The balanced composite curve will update accordingly (the composite curve only contains the default utilities so it will not change).

A second characteristic of the results panel is that results are shown for each operating case or time slice determined to exist over the entire time period of operation of all processes. Fig. 6.7 illustrates the layout of results from a batch process showing the five operating cases and their individual targeting values. In addition, the totals for the targeting values when multiple operating cases or time slices exist are given at the bottom of the data grid.

Fig. 6.7: Result Panel For a Batch Process Target Result With a Multiple Operating Cases or Time Slices

The targeting values listed in these panels are summarized in the following table 6.1:
6.5 Analyzing Results

Tab. 6.1: Results Panel Targeting Values Table

<table>
<thead>
<tr>
<th>Results Panel Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operating Case/Time Slice</td>
<td>The time slice in which specific stream exist at the same time</td>
<td></td>
</tr>
<tr>
<td>Time Schedule</td>
<td>The absolute start and end time of an operating case or time slice</td>
<td>hour</td>
</tr>
<tr>
<td>$\Delta T_{min}$</td>
<td>The vertical distance between the hot and the cold composite curve at the closest point</td>
<td>K</td>
</tr>
<tr>
<td>Pinch $T$</td>
<td>The pinch temperature represented by the closest approach of the hot and the cold composite curve</td>
<td>°C</td>
</tr>
<tr>
<td>Heat Recovery</td>
<td>The amount of heat exchange between process-process or internal utility-process streams</td>
<td>kW</td>
</tr>
<tr>
<td>Hot Util</td>
<td>The minimum amount of hot utility needed for heating based on the specified $\Delta T_{min}$ for the given composite curves</td>
<td>kW</td>
</tr>
<tr>
<td>Cold Util</td>
<td>The minimum amount of cold utility needed for cooling based on the specified $\Delta T_{min}$ for the given composite curves</td>
<td>kW</td>
</tr>
<tr>
<td>Area</td>
<td>The amount of heat exchanger area calculated based on the given composite curves and $\Delta T_{min}$ assuming vertical heat transfer for the spaghetti network [12]</td>
<td>m$^2$</td>
</tr>
<tr>
<td>Nunits</td>
<td>The total number of units calculated based on the given composite curve and $\Delta T_{min}$</td>
<td>[-]</td>
</tr>
<tr>
<td>Yearly Cost</td>
<td>The total annual cost for the given composite curve and $\Delta T_{min}$</td>
<td>CHF/ year</td>
</tr>
<tr>
<td>Operating Cost</td>
<td>The total annual operating cost for the given composite curve and $\Delta T_{min}$ (Utility, ECU and Site Electricity, maintenance and personnel)</td>
<td>CHF/ year</td>
</tr>
<tr>
<td>Total Investment Cost</td>
<td>The total cost of the required heat exchanger area plus any remaining ECU investment cost (e.g. compressor cost)</td>
<td>CHF</td>
</tr>
</tbody>
</table>
Continuation of table:

<table>
<thead>
<tr>
<th>Results Panel Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heat Recovery</td>
<td>The heat exchanger investment cost associated with process-process or internal utility-process streams heat exchange</td>
<td>CHF</td>
</tr>
<tr>
<td>Investment Cost</td>
<td></td>
<td></td>
</tr>
<tr>
<td>HU Inv. cost</td>
<td>The heat exchanger investment cost only associated with the default hot utility-process heat exchange</td>
<td>CHF</td>
</tr>
<tr>
<td>CU Inv. cost</td>
<td>The heat exchanger investment cost only associated with the default cold utility-process heat exchange</td>
<td>CHF</td>
</tr>
<tr>
<td>ECU Inv. Cost</td>
<td>Inv cost for ECUs not including the heat exchanger investment costs for ECUs. (Note: ECU heat exchanger investment costs are included directly in the Energy Recovery Investment cost calculation)</td>
<td>CHF</td>
</tr>
</tbody>
</table>

The results from a Target Result can be analyzed in two ways - i) within the PinCH program itself or ii) exported to another program. The first method is accomplished using the Results Panel and graphics as previously discussed. However, all data grids and graphics can be exported to other programs.

To Export OC Data Grid Table:

1. Calculate and Show a Target Result.
2. Press the ctrl button.
3. Select several rows in the Results Panel data grid to highlight (Hold the ctrl key to select individual rows).
4. Press Ctrl + C to copy the selected rows.
5. Open an editing program such as Excel.
6. Press Ctrl + V to paste the results into the program for editing.
6.5 Analyzing Results

To Export Graphics:

1. Calculate and Show a Target Result.
2. **Right-click** on the composite curve graph and select **Save Image As**.
3. Navigate to the desired directory location, enter a name for the png file and press save.

**Note:** All graphics in PinCH can be saved using the Save Image As context menu directly on each graphic by right clicking.

To Change Scaling of Graphics:

Each graphic can be analyzed in closer detail by changing the scale of the graphic in order to zoom closer in on a particular graph region. This can be done simply by positioning the mouse cursor over a graph at a required location and then using the mouse wheel to increase or decrease the scaling in the chosen region.
6.6 Targeting Analysis Support Tools

One support tool is the interaction tool or cross-hairs implemented for the Grand Composite Curve (GCC). This tool provides the ability to measure the net heat rate at a given shifted temperature or conversely the shifted temperature for a given net heat rate (Fig. 6.8). In addition, if a stream consists of a phase change, then this segment can be directly selected. This action allows the setting of the quality value and displays the corresponding shifted temperature and heat rate portion. This functionality is primarily used for sizing internal utilities heat rates when performing a utility system optimization (see section 4).

A second tool is the ability to export the internal match-wise area calculation results for each Target Result. These results are exported based on a range of $\Delta T_{\text{min}}$ values and are saved as a comma-separated values format file. This file can be easily opened in any editing or mathematical program such as Matlab.

To Export Area Matrices:

1. Create a Target Result
2. Right-click on the created Target Result node and select **Export > Export Matchwise Result Matrices**
3. Select the operating case to vary the $\Delta T_{\text{min}}$
4. Enter a range of $\Delta T_{min}$ values to calculate the match-wise matrices
5. Select **Export** button and enter name and location
6. Press **Save**

Another support tool is the ability to Zoom in or out on most of the charts displayed in the software by simply using the mouse wheel. This action causes the axis to update and become more refined allowing the user to see more precise values in the particular chart.
Chapter 7

Multiple Operating Cases - Optimize for Energy & Cost

7.1 Introduction

As for a single continuous process, targeting calculations involving combinations of continuous or semi-continuous processes play a central role in a pinch analysis study. However, in this case the system boundary is extended to include combinations of continuous processes. The combinations commonly include those processes that run at the same time but are separated (e.g. distance, product, etc.). A second category includes those processes that are only partially overlapped in time and form separate operating cases (OCs) each with different groupings of the available streams. A third category are those processes that exhibit different operating states (e.g. product changes, seasonal changes, etc.) in the same equipment. Section 3.3 shows several options for modelling different process types and how separate operating cases can form.

The importance of such calculations involving these processes lies in the fact that a greater potential for heat transfer is possible given the extended system boundary. However, greater attention to the design of the heat exchanger network is required to ensure investment cost is minimized through the re-use of heat exchanger area between the different OCs. Nevertheless, similar to single continuous process analysis, a great deal of useful information can be calculated immediately using only the stream data established in the data extraction and energy modeling step. No detailed design needs to be completed at this part of the study which allows for rapid iterations in evaluating energy integration possibilities.
7.2 How to Schedule Multiple Operating Cases

Multiple operating cases (MOCs) are formed by the combination of several continuous or semi-continuous processes. In section 3.3 several options for modelling the process types are shown and how separate operating cases can form. PinCH provides real world scheduling capability to model most of the process types encountered in industry.

7.2.1 Standard Multiple Operating Cases

Standard multiple operating cases (MOCs) are formed by the overlap of single continuous processes each of which have different schedules over the course of a year. Specifically shown in sections 3.3.2, 3.3.3 and 3.3.4 are several common types of MOC scheduling configurations. A more complex scheduling is as shown in Figure 7.1 which illustrates the overlap of multiple continuous processes over an entire year based on seasonal variation.

![Fig. 7.1: OC Scheduling showing multiple operating cases (MOCs) over the duration of a year and the weekly production campaign for one of the selected processes.](image)
In Figure 7.1 a Continuous Processes data grid is shown, which must be used to configure the necessary scheduling data of each individual process (refer to section 8.2 for how to schedule a batch process). The data shown in the continuous scheduling grid are summarized in the following table 7.1.
<table>
<thead>
<tr>
<th>Scheduling Grid Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Process</td>
<td>The name of each process to be scheduled as defined in the project explorer.</td>
<td></td>
</tr>
<tr>
<td>Time Base</td>
<td>The selected type of continuous process either continuous (Cont. Year) or semi-continuous (Cont. Weekly or Cont. Daily)</td>
<td></td>
</tr>
<tr>
<td>Mo</td>
<td>Monday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Tu</td>
<td>Tuesday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>We</td>
<td>Wednesday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Th</td>
<td>Thursday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Fr</td>
<td>Friday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Sa</td>
<td>Saturday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Su</td>
<td>Sunday - Selected day of the week to either start a continuous process or the day of the week a semi-continuous process is in operation.</td>
<td></td>
</tr>
<tr>
<td>Daytime Start hh:mm</td>
<td>The actual start time in the selected week given in hours and minutes of the day.</td>
<td>hh:mm</td>
</tr>
<tr>
<td>CW Start</td>
<td>The calendar week in the year at which the process starts.</td>
<td></td>
</tr>
<tr>
<td>No. Weeks</td>
<td>The number of weeks a semi-continuous process is in operation (Cont. Weekly or Cont. Daily).</td>
<td></td>
</tr>
<tr>
<td>Duration</td>
<td>Either the yearly duration of single continuous process or the weekly/daily duration of a semi-continuous process.</td>
<td>h</td>
</tr>
</tbody>
</table>
Continuation of table:

<table>
<thead>
<tr>
<th>Scheduling Grid Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prod. Campaign Start</td>
<td>The calculated absolute start time in the year for the selected process.</td>
<td>h</td>
</tr>
<tr>
<td>Prod. Campaign End</td>
<td>The calculated absolute end time in the year for the selected process.</td>
<td>h</td>
</tr>
<tr>
<td>Gross Op. Time</td>
<td>The calculated time difference between the absolute start and end time over the year.</td>
<td>h/a</td>
</tr>
<tr>
<td>Net Op. Time</td>
<td>The actual operation time of the selected process over the year.</td>
<td>h/a</td>
</tr>
</tbody>
</table>

7.2.2 Semi-Continuous Processes and Multiple Operating Cases

As noted in Table 7.1 a semi-continuous process can be defined by selecting a TimeBase of Continuous Weekly or Continuous Daily. The user can enter the configuration data in the data grid for either a continuous or a semi-continuous process. The data entry fields in the continuous scheduling grid change slightly in order that the operation of a process can be specified for a fixed number of hours over the time period of one week or over a specific day of the week. (see Fig. 8.1)

![Fig. 7.2: Semi-Continuous process schedule compared to continuous and batch process scheduling.](image)

Several charts are available for analysis in the Operating Cases Scheduling visualizer as shown in figure 7.1. The charts include the Overall Process Gantt chart as well as the Weekly Production Campaign chart. These charts help the user to graphically view the data configured in the two data grids to ensure correct data entry.

The Weekly Production Campaign chart is particularly helpful as it graphically displays the semi-continuous schedule data, this allows the user to double-check the data entered. Each process in the overall Gantt chart can
be individually selected and this will change what is displayed in the weekly Gantt chart.

Scheduling Intervals:

The scheduling of semi-continuous processes is convenient as it models the time schedule of processes often seen in small and medium sized enterprises (SMEs). As with continuous processes, the start and end of operation of the process itself forms a scheduling interval (SI). A continuous process exists over the entire duration of the SI, so the operating case (OC) duration is the same as the SI. However, for semi-continuous processes a repeated period of operation during the week forms based on the duration of the semi-continuous process, either on a daily time base or a weekly base. This repeatable period over the course of a single week will repeat a certain number of times throughout the year depending on the scheduling of the process. The PinCH software accounts automatically for this smaller duration by calculating the actual operating time of the process and then using this time period in the calculation of operating costs.

The complexity of the OC structure increases, however, when a semi-continuous process overlaps with other processes. As a result, within the SI not one OC, but several OCs are present (see Fig. 7.3).

Fig. 7.3: OC Gantt chart showing multiple OCs formed by the overlap of two semi-continuous processes.
7.3 How to Create and Prepare a Target Group

7.2.3 Multiple Operating Cases Groups

As reviewed in section 3.3.5 it is possible to configure multiple operating cases consisting of the same streams, but with different states (e.g. summer/winter). In order to use these streams at different states and therefore, have a shared equipment, a Multiple Operating Cases (MOC) Group has to be used. This special type of process grouping allows for proper calculation of area targets when using the combined supertargeting tool. An example of the scheduling of an MOC group is shown in figure 7.4. In addition, the group is needed when using the same streams in multiple processes that do not overlap in time yet need to be assigned to the same Target Group. Please see section 5.3 on how to add a MOC Group.

Fig. 7.4: MOC Group Scheduling example

7.3 How to Create and Prepare a Target Group

To create a new Target Group, the commands on the Target Explorer tree must be used. The target explorer encapsulates all the necessary functionality used in configuring and calculating the dynamic information used in analysis. The first step is to create a grouping of processes that are to be analyzed within a Target Group. More than one process can be selected to create the multiple operating cases as discussed in section 7.2.3.

Note: Duplicate streams are not allowed in the different processes that are added to the same targeting group.

To create a Target Group:

1. Select and highlight the BaseCase node in the Target Explorer
2. Right click on the BaseCase node to view the context menu and select Add Target Group
3. Select the newly created target group listed under the BaseCase node and press F2
4. Rename the node as required
7.4 Simplify Energy Targets - Energy Target Analysis

As noted in the introduction, industrial processes often consist of more than one logical grouping of process streams separated by the constraint of physical location. Energy Target Analysis (ETA) provides a powerful set of tools to analyze the entire site for the greatest opportunities for heat integration. It is best suited for overlapping continuous and semi-continuous processes in order to identify which combinations of processes and resulting operating cases can provide the greatest opportunity for heat recovery.

The creation of a new Simplified Targeting-Group allows the user to pick and choose and experiment with the processes to see which combination offers the most benefit, from an energy perspective, using process integration. As discussed in section 7.2.2, the overall Gantt chart is divided into scheduling intervals (SI) created by the starting and ending of the individual processes. If semi-continuous processes exist, then multiple operating cases will exist in each SI. The OC Data grid and the OC Gantt Chart show the complete breakdown for each SI according to these composite operating cases. Figure 7.5 shows the ETA visualizer for two overlapping semi-continuous processes and the resulting OCs within the SI.

Fig. 7.5: ETA Entire Visualizer
7.4 Simplify Energy Targets - Energy Target Analysis

The ETA provides the flexibility to analyze an entire site to find the best energy integration potential between different overlapping processes. Several steps are required to configure the ETA for the analysis.

How to Create a Simplified ETA Target Group:

1. Select and highlight the Energy Target Analysis node in the Target Explorer once the associated Target Group has been configured with the required processes.
2. Right click on the Energy Target Analysis node to view the context menu and select Open Energy Target Analysis.
3. As a first step, select the desired processes to be analyzed together by selecting them in the Processes & Groups box to ensure they show on the Overall Gantt chart (see Fig. 7.6).

![Fig. 7.6: ETA Configuration Step 1 - select the desired processes to be analyzed together to show them in the Overall Process Gantt chart](image)

1. As a second step, create an ETA simplified target group by first selecting and highlighting the Groups node.
2. Right click on the Groups node and select Add Simplified Targeting-Group (see Fig. 7.7).
3. Select the newly created simplified target group listed under the Groups node and press F2.
4. Rename the node as required.
Fig. 7.7: ETA Configuration Step 2 - create a simplified targeting group to allow selection of parts of processes in order to determine best integration potentials

1. As a third step, use the left mouse button to select the processes to be analyzed together directly on the Overall Process Gantt chart
2. Select the parts of the processes in the SIs to be included or not included in the analysis as shown in figure 7.8
The energy integration potential of each selected scheduling interval (SI) can be easily assessed by selecting the number in the footer of the Overall Process Gantt chart (see yellow circles in figure 7.8). The associated OC target results for a given $\Delta T_{\text{min}}$ are shown in the lower left section of the visualizer as shown in 7.8 on the OC Data grid. There is no cost information shown as the ETA functionality is designed to solely focus on energy integration and best potentials. Therefore, the $\Delta T_{\text{min}}$ will have to be set based on experience and then optimized later when creating an actual Target Result at a later step.

How to Analyze A Simplified Target Group - Energy Cost Targets:

1. Once an ETA simplified target group has been analyzed for energy potential select the small icon lock to the left of the node to add the ETA group to the Target Explorer
2. Select and highlight the Energy Target Analysis node in the Target Explorer
3. Either double click the Energy Target Analysis node or click the arrow on the node to open the listing of locked ETA simplified target groups
4. Right click on the ETA simplified target group of interest and select context menu Create Target Result from Group to add a Target Result
node to the Target Group (the name of the ETA simplified group will be appended to the name of the Target Result).

5. Right click on the created Target Result node and select *Open Target Result with Separate Design* or *Open Target Result with Combined SuperTargeting (Advanced)* if multiple OCs exist and you wish to do MOC supertargeting.

**Note:** The lock function will not work if parts of processes already exist in a Simplified Target Group that has been already analyzed for Energy and Costs (i.e. no duplication of stream in Targeting is allowed!).

The results available in the ETA include the OC Data grid for displaying the energy targets (kW and MWh, see Figure 7.5 and 7.8)) and the CC/GCC/Split GCC charts (see Figure 7.9) for a selected SI. An OC Gantt chart is also displayed which shows the weekly production campaign schedule for each process that exists in the select SI. This chart is very useful to see how the particular OCs form based on the schedule.

![Fig. 7.9: ETA Charts for each operating case](image)

In addition, the Time Average Model (TAM) results for the selected SI is also available in the OC Data grid and as separate OC Charts. The weighting of the stream data is based on the net operating time as well as on the scheduling information and is done automatically for the selected SI. The result provides an indication of the suitability for storage between adjacent OCs.
7.5 How to Create and Analyze Target Results

A powerful feature of the Target Explorer is the flexibility it gives in comparing different Target Results. As shown in figure 7.10, not only are the energy targets displayed but the number of units targets, cost targets and area targets are shown. These results are calculated based on either a simple cost curve analysis or the more advanced combined supertargeting cost optimizer (for MOC processes) to ensure the best economic efficiency.

![Figure 7.10: MOC Combined Supertargeting Target Result showing the results for Conventional Design together with the summaries of the Separate Design and the Resequence Design types](image)

For any particular target group, multiple target results can be created and then automatically saved under the target group tree node. A selection of different scenarios can be saved directly and used easily for comparing the results of each scenario in order to find the best energy integration option.

Creating Multiple Target Results:

1. Create a Target Result as described for single continuous process in
section 6.3  
2. Right click on the same Results node and select Calculate Target Result with... and then Separate Design to create a second target result. A new Target Result visualizer component will be added to a dockable window and positioned in the center of the workbench  
3. All the nodes can be renamed after pressing F2  
4. Change the $\Delta T_{min}$ in the new Target result to a different value  
5. Repeat for required number of different $\Delta T_{min}$ values  

**Note:** Additional target results can be created when an ECU (see section 9 Integrate Energy Conversion Units), soft streams or multiple utilities (see section 4) are added. The workbench is composed of dockable windows that can be moved and repositioned throughout the workbench. This allows for an easy comparison of the results or the data, which has been entered (see Chap. 6.4 Fig. 6.4).

The results derived from a Target Result can be analyzed using either the OC Charts in the middle section of the Target Result dockable window or the OC Data grid shown at the bottom of the window (see Fig. 7.10). The charts include the Composite Curve (CC), Grand Composite Curve (GCC), Balanced Composite Curve (BCC), Balanced Grand Composite Curve (BGCC), Cost Curves and the Split Grand Composite Curve (Split GCC). The cost curves include a breakdown of the annual investment cost curve into the investment cost for internal process-process heat exchangers, CU-process heat exchangers and the HU-process heat exchangers. The targeting values listed in the OC Data grid are summarized in the following table 8.2:
## 7.5 How to Create and Analyze Target Results

### Tab. 7.2: Results Panel Targeting Values Table

<table>
<thead>
<tr>
<th>Results Panel Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operating Case/Time Slice</td>
<td>The time slice in which specific streams exist at the same time</td>
<td></td>
</tr>
<tr>
<td>Time Schedule</td>
<td>The absolute start and end time of an operating case or time slice</td>
<td>hour</td>
</tr>
<tr>
<td>$\Delta T_{min}$</td>
<td>The vertical distance between the hot and the cold composite curve at the closest point</td>
<td>K</td>
</tr>
<tr>
<td>Pinch $T$</td>
<td>The pinch temperature represented by the closest approach of the hot and the cold composite curve</td>
<td>°C</td>
</tr>
<tr>
<td>Heat Recovery</td>
<td>The amount of heat exchange between process-process or internal utility-process streams</td>
<td>kW</td>
</tr>
<tr>
<td>Hot Util</td>
<td>The minimum amount of hot utility needed for heating based on the specified $\Delta T_{min}$ for the given composite curves</td>
<td>kW</td>
</tr>
<tr>
<td>Cold Util</td>
<td>The minimum amount of cold utility needed for cooling based on the specified $\Delta T_{min}$ for the given composite curves</td>
<td>kW</td>
</tr>
<tr>
<td>Area</td>
<td>The amount of heat exchanger area calculated based on the given composite curves and $\Delta T_{min}$ assuming vertical heat transfer for the spaghetti network [12]</td>
<td>m$^2$</td>
</tr>
<tr>
<td>N units</td>
<td>The total number of units calculated based on the given composite curve and $\Delta T_{min}$</td>
<td>[-]</td>
</tr>
<tr>
<td>Yearly Cost</td>
<td>The total annual cost for the given composite curve and $\Delta T_{min}$</td>
<td>CHF/year</td>
</tr>
<tr>
<td>Operating Cost</td>
<td>The total annual operating cost for the given composite curve and $\Delta T_{min}$ (Utility, ECU and Site Electricity, maintenance and personnel)</td>
<td>CHF/year</td>
</tr>
<tr>
<td>Total Inv. Cost</td>
<td>The total cost of the required heat exchanger area plus any remaining ECU investment cost (e.g. compressor cost)</td>
<td>CHF</td>
</tr>
<tr>
<td>Heat Recovery Inv. Cost</td>
<td>The heat exchanger investment cost associated with process-process or internal utility-process streams heat exchange</td>
<td>CHF</td>
</tr>
</tbody>
</table>
Continuation of table:

<table>
<thead>
<tr>
<th>Results Panel Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>HU Inv. cost</td>
<td>The heat exchanger investment cost only associated with the default hot utility-process heat exchange</td>
<td>CHF</td>
</tr>
<tr>
<td>CU Inv. cost</td>
<td>The heat exchanger investment cost only associated with the default cold utility-process heat exchange</td>
<td>CHF</td>
</tr>
<tr>
<td>ECU Inv. Cost</td>
<td>Inv cost for ECUs not including the heat exchanger investment costs for ECUs. (Note: ECU heat exchanger investment costs are included directly in the Energy Recovery Investment cost calculation)</td>
<td>CHF</td>
</tr>
</tbody>
</table>

Note: Only heat flow values are shown in the Target Result. To see the energy values the Energy Target Analysis feature will have to be used.

Adding and Sizing Internal Utilities:

The default utilities (both hot and cold) must always be added to a Target Group. This ensures that the energy balance for each associated Target Result can be completed and the proper cost can be calculated. In order to include multiple “internal” utilities, additional hot and cold utilities can be added to the Utility Stream Table and assigned to the Target Group along with the default hot and cold utilities. These internal utilities can then be sized. The heat flow of each internal utility must be specifically set and can be typically derived from the GCC.

As by single continuous processes, internal utilities can be added and used to optimize the energy supply. When internal utilities are added to a Target Group two rows are shown in the OC Data - one for the regular composite curve (CC) using only the default utilities and one for the balanced composite curve which includes the internal utilities.

How to Size Internal Utilities:

1. Create a Target Result as described above.
2. Add an additional utility stream to the Utility Stream Table.
3. Assign the newly added utility stream to the Target Group.
4. Add a new Separate Design Target Result.
5. Right click on the CC row within the OC Data or TS data grid and select **Size Utilities**. Optionally, the properties window tab can be first selected and then the CC or BCC row selected to display the Cold Utility/Hot Utility Sizing text entry boxes.

6. Enter a heat flow value for the internal utility in the associated text entry box. The balanced composite curve will update accordingly (the composite curve only contains the default utilities so it will not change).

The calculation of Target Results for energy and cost optimization involving MOC processes is more complex than by single continuous processes. In particular there are more operating cases that exist using many of the same streams or different operating states of the same streams (i.e. shared) over the course of an entire year. The question arises in how to minimize total cost of the underlying heat exchanger network (HEN) to ensure the reuse of heat exchanger area is maximized leading to lower investment cost. As a result, PinCH provides three different calculation methods to provide target results that can be compared. When all three types are calculated together in the same Target Result this is called Combined Supertargeting.

### 7.5.1 Separate Design Target Results

The separate design calculation is based on the assumption of complete separation of each OC from all other OCs. Simply put each OC is assumed to have its own heat exchanger network and each would have to be built separately. This target represents the most expensive option and provides an upper bound on the total investment cost. Its calculation is exactly as described in the section for Single Continuous processes but applied to each OC separately. The cost curve is simply used to optimize each $\Delta T_{\text{min}}$ separately with no consideration for reusing heat exchanger area.

### 7.5.2 Conventional and Resequence Design Target Results

Conventional and resequence design heat exchanger network design types calculate new targets that account for the time dependent behaviour involved in multiple operating cases or batch processes. In such processes direct heat transfer can be optimized to account for the overlapping common area of heat exchangers that transfer heat between the same streams but at different states.
and different time periods. Conventional and resequence design types provide additional flexibility in HEN design and can be incorporated into early design stage. Both design types aid in ensuring the maximum amount of common heat exchange area is used to reduce investment cost. The optimization is based on relatively straightforward linear program formulations with the goal to determine a minimum capital cost HEN that is valid in all MOCs or time slices at the Targeting stage. Please see Appendix A.6 for more information.

How to Calculate a Combined Supertargeting Result:

1. Create a Target Result as described for a single continuous process in section 6.3, but select processes that create multiple operating cases
2. Right click on the same Results node and select Calculate Target Result with... and then Combined SuperTargeting (Advanced) to create a second target result. A new Target Result visualizer component will be added to a dockable window and positioned in the center of the workbench
3. All the nodes can be renamed after pressing F2
4. Select the radio button on the header of the OC Data grid to switch the results between Separate, Conventional and Resequence design and compare the values for the same set of $\Delta T_{\text{min}}$ values automatically created based on the Separate design cost curves (see figure 7.10)
5. Select the Conventional design radio button
6. Press the Optimize Button to calculate a new set of $\Delta T_{\text{min}}$ values based on the Conventional design constraint
7. Repeat for the Resequence design constraint
8. Select the lock icon next to the Separate, Conventional and Resequence summary row to unlock the value displayed (All unlocked results will update automatically based on select design type and its associated $\Delta T_{\text{min}}$ values)

Both conventional and resequence design types calculate the total heat exchanger network (HEN) area and the number of units as there is in principle only one HEN. Therefore, OC specific areas and number of units are not calculated. Only the separate design can calculate individual values for each OC as, per definition, each OC is separate.

As discussed in section 7.2.2 a multiple operating case analysis can consist of many small operating cases created by the overlap of semi-continuous processes. Due to non-synchronization of the different semi-continuous processes, composite OCs can be created that contain the same set of streams but differ slightly in their duration. Nevertheless, PinCH automatically accounts for
these slight differences and calculates a total Target Result for each distinct OC and adjusts the total net operation time accordingly. As a result, the composite OCs are not shown on the OC Data grid, only the distinct OCs.

7.6 Multiple Operating Cases – Analysis Support Tools

Several tools presently exist to support the analysis of multiple operating cases Target Results. First is the use of Perspectives to allow the user to quickly focus on the important information during the analysis of a Target Result. As seen in figure 7.10 a combobox is displayed in the header of the dockable window with the following options:

- Orientation
- Optimization
- Analyzing

The Orientation perspective shows the following charts:

- Overall Gantt Chart
- OC Gantt Chart

The Optimization perspective is the most often used as it shows the following charts:

- Composite Curve CC
- Grand Composite Curve GCC
- Balanced Composite Curve CC
- Balanced Grand Composite Curve GCC
- Cost Curve CC
- Split Grand Composite Curve

Finally, the Analyze perspective shows the OC Data grid with the targeting results of each OC.

A second tool is the OC Selector that is per default shown on the right side of the Target Result visualizer (see figure 7.10). The selector provides the
ability to display or not display any particular OC in the OC Charts shown in the Optimization perspective. This tool is very useful to help focus on the critical OCs to be analyzed.

The third is the Split Grand Composite Curve (Fig. 8.4). This graphic allows the display of two separate grand composite curves in operating cases (OCs) from the same or or even other Target Groups and Target Results. This functionality provides the ability to investigate the possibility of integrating the two processes using for example intermediate loops. In addition, it can be used to assess indirect heat integration between time slices of a single batch process.

Note: The second selected grand composite curve is mirrored relative to the other grand composite curve.

The split GCC can be accessed by the OC Charts selector in the header of the OC Charts group box. All distinct OCs in any other Target Group and Target Result can be selected as the extracted process giving total flexibility in the desired configuration.

Fig. 7.11: Split Grand Composite Curve
Chapter 8

Single Product Batch Process - Optimize for Energy & Cost

8.1 Introduction

Batch processes are prevalent in industry and account for a major portion of all industrial processes. They are typically used in the following circumstances:

- when there are very slow conversions (long residence times)
- when only a small quantity of a product is produced but with a high profit margin
- when a flexible manufacturing process and/or change to the product is important (multi-product plants or multi-purpose equipment)
- when a continuous process is too technically difficult to realize

A batch consists of a single process with differing stream existence times. This results in multiple time slices, each of which has its own composite curve. Analysis becomes complex as opportunities for energy recovery become bounded due to time constraints and it can not be assumed that each stream can potentially exchange energy with all other streams of opposite hot or cold designation at all times. Nevertheless, increasing direct heat transfer in a batch process is an important economic and energetic consideration.
8.2 How to Schedule a Batch Process

Single product batch processes are a common form of production used in industry to produce special products that are constrained by high quality standards, low quantity, flexibility requirements or reaction times. As a result, the schedule of a batch process is characterized by the time dependence of the streams. The time period of existence for each stream can be different from that of other streams. The structure of the time schedule of each stream can be clearly seen in a Gantt chart. PinCH supports the scheduling of single product batch streams through the Process Stream Table and Operating Cases Schedule.

PinCH provides real world scheduling capability to model most of the process types encountered in industry. In section 3.3.6 it is shown how a single product batch can be scheduled.

8.2.1 Scheduling Stream Existence Times (Process Stream Table)

The use and defining of streams in the Process Stream Table is given in section 4.3. The key parameters to include for a batch stream are the $t_{\text{Start}}$ and $t_{\text{Stop}}$ times. These parameters define the absolute existence time within the single batch itself. These parameters ensure that the relative timing of the streams and the associated heating or cooling demands are maintained in comparison to the other streams. This model assumes the existence times are fixed and repeated in a cyclical manner. A time slice model (TSM) can then be easily created and analyzed.
8.2.2 Scheduling the Single Batch Process (Operating Cases Schedules)

The scheduling of a single product batch process is characterized by two main forms:

- Scheduling of the single product batch
- Scheduling of a single product batch that overlaps with itself

The first form is the standard configuration of a batch process as it must be repeated a certain number of times over the duration of, for example, one year. This is done easily by setting directly the number of weeks (# Weeks) and the number of batches (# Batches) and indirectly by setting in which calendar week the batch process starts (CW Start) as shown in figure 8.1.

The second form is characterized by scheduling a single batch process to overlap with itself. This is often the case in industry as production must be maximized and idle equipment from a previous batch can and should be put into operation by simply starting a new batch before the previous batch is complete. In the PinCH software this can be done by setting the Batch Cycle Duration (BCD) to be less than the Batch Process Duration (BPD) to indicate that the batch starts sooner. Both of these parameters are available in the operating case schedule for batch processes (see figure 8.1 and table 8.1).
Tab. 8.1: Continuous Process, Operating Cases, Scheduling and Data Grid Values

<table>
<thead>
<tr>
<th>Scheduling Grid Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Process</td>
<td>The name of each process to be scheduled as defined in the project explorer.</td>
<td></td>
</tr>
<tr>
<td>Timebase</td>
<td>The time frame for which a batch process operates (Batch Daily, Batch Weekly or Batch Yearly)</td>
<td></td>
</tr>
<tr>
<td>Mo</td>
<td>Monday - Selected day of the week on which the first batch process begins.</td>
<td></td>
</tr>
<tr>
<td>Tu</td>
<td>Tuesday - Selected day of the week on which the first batch process begins.</td>
<td></td>
</tr>
<tr>
<td>We</td>
<td>Wednesday - Selected day of the week on which the first batch process begins.</td>
<td></td>
</tr>
<tr>
<td>Th</td>
<td>Thursday - Selected day of the week on which the first batch process begins.</td>
<td></td>
</tr>
<tr>
<td>Fr</td>
<td>Friday - Selected day of the week on which the first batch process begins.</td>
<td></td>
</tr>
<tr>
<td>Sa</td>
<td>Saturday - Selected day of the week on which the first batch process begins.</td>
<td></td>
</tr>
<tr>
<td>Su</td>
<td>Sunday - Selected day of the week on which the first batch process begins.</td>
<td></td>
</tr>
<tr>
<td>Daytime Start hh:mm</td>
<td>The actual start time in the selected week given in hours and minutes of the day.</td>
<td>hh:mm</td>
</tr>
<tr>
<td>CW Start</td>
<td>The calendar week of the year in which the process starts.</td>
<td></td>
</tr>
<tr>
<td>No. Weeks</td>
<td>The number of weeks a batch process is in operation.</td>
<td></td>
</tr>
<tr>
<td>BCD</td>
<td>Batch Cycle Duration – Time of duration between the start of one batch and the beginning of the next batch.</td>
<td>h</td>
</tr>
<tr>
<td>No. Batches</td>
<td>Number of batches occurring within the chosen time base (daily weekly or yearly)</td>
<td></td>
</tr>
</tbody>
</table>
Continuation of table:

<table>
<thead>
<tr>
<th>Scheduling Grid Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>BPD, BSD</td>
<td>Batch Cycle Duration – Time duration of equipment repeat operation period (EROP), which includes pre and post processing times. Batch Stream Duration – Time duration of (EROP) considering only the stream start and stop times</td>
<td>h</td>
</tr>
<tr>
<td>Prod. Campaign Start</td>
<td>The calculated absolute start time in the year for the selected process.</td>
<td>h</td>
</tr>
<tr>
<td>Prod. Campaign End</td>
<td>The calculated absolute end time in the year for the selected process.</td>
<td>h</td>
</tr>
<tr>
<td>Gross Op. Time</td>
<td>The calculated time difference between the absolute start and end time over the year.</td>
<td>h/a</td>
</tr>
<tr>
<td>Net Op. Time</td>
<td>The actual operation time of the selected process over the year.</td>
<td>h/a</td>
</tr>
</tbody>
</table>

Additional information on the scheduling of overlapping batches can be found in Appendix A.7.

### 8.3 How to Create and Prepare a Target Group

To create a new Target Group commands on the Target Explorer tree must be used. The target explorer encapsulates all the necessary functionality used in configuring and calculating the dynamic information used in analysis. The first step is to create a grouping of processes that are to be analyzed within a Target Group. However, for the specific energy and cost target analysis (re. Target Result section ) of single batch process and its associated time slice (TS) model it is required that only the batch process be added to the Target Group. No support is given for overlapping of different batch processes as this is still a subject of fundamental research. However, the Energy Targeting Analysis functionality (section 8.4) can be used to analyze the Time Average Model (TAM) of the overlapping processes.

**Note:** Duplicate streams are not allowed in different processes that are added to the same targeting group.
To create a Target Group:

1. Select and highlight the BaseCase node in the Target Explorer
2. Right click on the BaseCase node to view the context menu and select Add Target Group
3. Select the newly created target group listed under the BaseCase node and press F2
4. Rename the node as required

8.4 Simplify Energy Targets - Energy Target Analysis

As noted in the introduction, industrial processes often consist of single product batch processes for the production of special products. Often the energy integration of such processes is ignored as the focus is on low-quantity high-quality specialty products. Nevertheless, there is great potential for improving the energy efficiency of such processes given this traditional lack of interest in the energy efficiency.

The Energy Target Analysis (ETA) provides a powerful set of tools to analyze the batch process Gantt or time slice model (TSM) and look for the best opportunities for direct heat transfer within the TSs. Simplification of the TSM is also possible in order to focus in on the best possible direct heat transfer possibilities. In addition, support is given through the Time Average Model (TAM), Split GCC and the GCC based ISSP to assess the potential for indirect heat transfer using heat storages. Figure 8.2 shows the entire ETA visualizer with the different analysis objects.
8.5 How to Create and Analyze Target Results

PinCH provides the ability to easily view and compare the results in each time slice, which helps in the search for new opportunities for improved process integration.

To Create a Target Result for a Batch:

1. Create a **Target Group**
2. Select and highlight the **Target Group node** in the Target Explorer
3. Right click on the Target Group node to view the context menu and select **Reassign Operating Cases Schedule** and re-assign a schedule as needed.
4. Right click on the Target Group node to view the context menu and select **Reassign Economic Data**; re-assign the economic data as needed.
5. Right click on the **Processes node** and select a batch process to be used in the analysis. (Ensure that $t_{\text{start}}$ and $t_{\text{stop}}$ have been included for each stream in the Process Stream Table beforehand as defined in section 4.3).

6. Right click on the **Utilities node** and select a default hot and a default cold utility (see note given below)

7. Right click on the **Results node** and select **Separate Design Tool** to create the target result

8. All the nodes can be renamed after pressing F2

In this case, there are two tools available for analysis - **Separate Design Tool** and **Combined Supertargeting Tool**. The separate design tool analyzes the absolute stream existence times and decomposes the data into separate time slices or operating cases. Each slice is independent of the other and can be analyzed on its own. The combined supertargeting tool uses the conventional and resequence design types to calculate the optimum HEX area when common or reused area of HEX on the same streams in different TSs is accounted for. Please see Appendix A.6 and section 8.5.2 for more information.

**Note:** It is critical to have created at least one hot and one cold stream in the Utility Stream Table. Please be certain to ensure the respective temperature ranges of these utilities provide enough driving force for the given process streams. These two utilities will need to be assigned as the default hot and cold utility in order to allow the internal energy balance to be closed. This is particularly important in the case of adding multiple utilities used in optimizing such systems.

After completing the above steps a window will be created and anchored on the workbench with a tab. This window encapsulates the entire targeting result for the selected tool (in this case either the Separate Design Tool or Time Average Model). Fig. 8.3 shows the result for a Separate Design Tool target result for a single batch process.

By selecting check boxes on the filter panel, the composite curve, grand composite curve, balance composite curve, balanced grand composite curve, cost curve as well as the gantt chart can be selected for viewing. Each will be displayed automatically in the upper portion of the dockable window while the results for a given process $\Delta T_{\text{min}}$ (entered on the Main Control Panel) will be shown on the Results Panel data grid at the bottom of the window.
The specific results for each time slice can be viewed on the Results Panel data grid. Both the results for the composite curve (only includes the default hot and cold utility) and the balanced composite curve (includes the default hot and cold utility as well as the additional internal sized utilities) are shown. Any multiple operating cases or time slices will also be listed in the data grids each row representing the targeting result for the particular slice. Table 8.2 provides descriptions of the different columns that appear in the Results Panel.
## Tab. 8.2: Results Panel Targeting Values Table

<table>
<thead>
<tr>
<th>Results Panel Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operating Case/Time Slice</td>
<td>The time slice in which specific streams exist at the same time</td>
<td></td>
</tr>
<tr>
<td>Time Schedule</td>
<td>The absolute start and end time of an operating case or time slice</td>
<td>hour</td>
</tr>
<tr>
<td>$\Delta T_{\text{min}}$</td>
<td>The vertical distance between the hot and the cold composite curve at the closest point</td>
<td>K</td>
</tr>
<tr>
<td>Pinch $T$</td>
<td>The pinch temperature represented by the closest approach of the hot and the cold composite curve</td>
<td>°C</td>
</tr>
<tr>
<td>Heat Recovery</td>
<td>The amount of heat exchange between process-process or internal utility-process streams</td>
<td>kW</td>
</tr>
<tr>
<td>Hot Util</td>
<td>The minimum amount of hot utility needed for heating based on the specified $\Delta T_{\text{min}}$ for the given composite curves</td>
<td>kW</td>
</tr>
<tr>
<td>Cold Util</td>
<td>The minimum amount of cold utility needed for cooling based on the specified $\Delta T_{\text{min}}$ for the given composite curves</td>
<td>kW</td>
</tr>
<tr>
<td>Area</td>
<td>The amount of heat exchanger area calculated based on the given composite curves and $\Delta T_{\text{min}}$ assuming vertical heat transfer for the spaghetti network [12]</td>
<td>m²</td>
</tr>
<tr>
<td>$N_{\text{units}}$</td>
<td>The total number of units calculated based on the given composite curve and $\Delta T_{\text{min}}$</td>
<td>[-]</td>
</tr>
<tr>
<td>Yearly Cost</td>
<td>The total annual cost for the given composite curve and $\Delta T_{\text{min}}$</td>
<td>CHF/ year</td>
</tr>
<tr>
<td>Operating Cost</td>
<td>The total annual operating cost for the given composite curve and $\Delta T_{\text{min}}$ (Utility, ECU and Site Electricity, maintenance and personnel)</td>
<td>CHF/ year</td>
</tr>
<tr>
<td>Total Inv. Cost</td>
<td>The total cost of the required heat exchanger area plus any remaining ECU investment cost (e.g. compressor cost)</td>
<td>CHF</td>
</tr>
<tr>
<td>Heat Recovery Inv. Cost</td>
<td>The heat exchanger investment cost associated with process-process or internal utility-process streams heat exchange</td>
<td>CHF</td>
</tr>
</tbody>
</table>
Continuation of table:

<table>
<thead>
<tr>
<th>Results Panel Values</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>HU Inv. cost</td>
<td>The heat exchanger investment cost only associated with the default hot utility-process heat exchange</td>
<td>CHF</td>
</tr>
<tr>
<td>CU Inv. cost</td>
<td>The heat exchanger investment cost only associated with the default cold utility-process heat exchange</td>
<td>CHF</td>
</tr>
</tbody>
</table>
| ECUs Remaining Inv. Cost | Inv cost for ECUs not including the heat exchanger investment costs for ECUs.  
(Note: ECU heat exchanger investment costs are included directly in the Energy Recovery Investment cost calculation) | CHF   |

The specific results for each time slice can be viewed on the Results Panel data grid. Both the results for the composite curve (only includes the default hot and cold utility) and the balanced composite curve (includes the default hot and cold utility as well as the additional internal sized utilities) are shown. Any multiple operating cases or time slices will also be listed in the data grids with each row representing the targeting result for that particular slice.

### 8.5.1 Separate Design Target Results

The separate design calculation is based on the assumption of complete separation of each TS from all other TSs. Simply put each TS is assumed to have its own heat exchanger network and each would have to be built separately. This target represents the most expensive option and provides an upper bound on the total investment cost. Its calculation is exactly as described in the section for Single Continuous processes but applied to each TS separately. The cost curve is simply used to optimize each $\Delta T_{\text{min}}$ separately with no consideration for reusing heat exchanger area.

### 8.5.2 Conventional and Resequence Design Target Results

Conventional and resequence design heat exchanger network design types calculate new targets that account for the time dependent behaviour involved
in multiple operating cases or batch processes. In such processes direct heat transfer can be optimized to account for the overlapping common area of heat exchangers that transfer heat between the same streams but at different states and different time periods. Conventional and resequence design types provide additional flexibility in HEN design and can be incorporated into an early design stage. Both design types aid in ensuring the maximum amount of common heat exchange area is used to reduce investment cost. The optimization is based on relatively straightforward linear program formulations with the goal to determine a minimum capital cost HEN that is valid in all MOCs or time slices at the Targeting stage. Please see Appendix A.6 for more information.

How to Calculate a Combined Supertargeting Result:

1. Create a Target Group as described in section 8.3 for a single product batch process
2. Right click on the same Results node and select Calculate Target Result with... and then Combined SuperTargeting (Advanced) to create a second target result. A new Target Result visualizer component will be added to a dockable window and positioned in the center of the workbench
3. All the nodes can be renamed after pressing F2
4. Select the radio button on the header of the TS Data grid to switch the results between Separate, Conventional and Resequence design and compare the values for the same set of $\Delta T_{min}$ values automatically created based on the Separate design cost curves (see figure 7.10)
5. Select the Conventional design radio button
6. Press the Optimize Button to calculate a new set of $\Delta T_{min}$ values based on the Conventional design constraint
7. Repeat for the Resequence design constraint
8. Select the lock icon next to the Separate, Conventional and Resequence summary row to unlock the value displayed (All unlocked results will update automatically based on select design type and its associated $\Delta T_{min}$ values)

Both conventional and resequence design types calculate the total heat exchanger network (HEN) area and the number of units as there is in principle only one HEN. Therefore, TS specific areas and number of units are not calculated. Only the separate design can calculate individual values for each TS as, per definition, each TS is separate.
8.6 Single Product Batch Process Analysis Support Tools

Several tools presently exist to support the analysis of time slices (TSs) of a single batch process Target Result. First is the use of Perspectives to allow the user to quickly focus on the important information during the analysis of a Target Result. As seen in figure 7.10 a combobox is displayed in the header of the dockable window itself with the following options:

- Orientation
- Optimization
- Analyzing

The Orientation perspective shows the following charts:

- Overall Gantt Chart
- OC Gantt Chart

The Optimization perspective is the most often used as it shows the following charts:

- Composite Curve CC
- Grand Composite Curve GCC
- Balanced Composite Curve CC
- Balanced Grand Composite Curve GCC
- Cost Curve CC
- Split Grand Composite Curve

Finally, the Analyze perspective shows the TS Data grid with the targeting results of each TS.

A second tool is the TS Selector that is per default shown on the right side of the Target Result visualizer (see figure 7.10). The selector provides the ability to display or not display any particular TS in the TS Charts shown in the Optimization perspective. This tool is very useful to help focus on the critical TSs to be analyzed.

Another tool is the Split Grand Composite Curve (Fig. 8.4). This graphic allows the display of two separate grand composite curves from consecutive
TSs. This functionality provides the ability to investigate the possibility of integrating the two TSs using for example intermediate loops with heat storage. This helps to assess indirect heat integration between time slices of a single batch process.

**Note:** The second selected grand composite curve is mirrored relative to the other grand composite curve.

The split GCC can be accessed by the TS Charts selector in the header of the TS Charts group box. All distinct TSs in any other Target Group and Target result can be selected as the extracted process giving total flexibility in the desired configuration.

![Split Grand Composite Curve](image)

Fig. 8.4: Split Grand Composite Curve
Chapter 9

Integrate Energy Conversion Units

9.1 Introduction

An energy conversion unit (ECU) is a technique for transforming energy from a lower quality to a higher quality (i.e. temperature) to improve energy efficiency. This transformation allows better integration of waste heat into a process and is an effective investment opportunity when properly placed based on the pinch analysis method.

An ECU must be applied on the target result level. These ECUs allow the investigation of integrating such unit operations into the stream population for a given Target Result. In each case either one or more streams are inserted directly as new streams to be included in the target calculation. New in PinCH 2.0 is the ability to add an ECU to each operating case or time slice of an individual target result. However, only one type of ECU can be applied to each operating case/time slice themselves.

9.2 Economic Calculations

Economic calculations for each ECU use a standard cost versus capacity equation expressed as a power law of the capacity. Each ECU has its own unique base quantity parameter given as follows:

- Heat Pump - Compressor Electricity
- Internal Combustion Engine - Generated Electricity
- Mechanical Vapour Recompression - Compressor Electricity
- Thermal Vapour Recompression - Total Mass Flow of Steam

For the heat pump (HP), mechanical vapour recompression (MVR), thermal vapour recompression (TVR) the calculated investment costs simply contain the remaining costs outside of the heat exchanger costs as these are handled directly in the target area calculations. The following tables list the economic parameters and their values [14].

Tab. 9.1: ECU Materials of Construction Economic Factors Table

<table>
<thead>
<tr>
<th>fm Materials of Construction</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carbon steel (default)</td>
<td>1.0</td>
</tr>
<tr>
<td>Aluminium</td>
<td>1.3</td>
</tr>
<tr>
<td>Stainless steel (low grades)</td>
<td>2.4</td>
</tr>
<tr>
<td>Stainless steel (high grades)</td>
<td>3.4</td>
</tr>
<tr>
<td>Hastelloy C</td>
<td>3.6</td>
</tr>
<tr>
<td>Monel</td>
<td>4.1</td>
</tr>
<tr>
<td>Nickel and inconel</td>
<td>4.4</td>
</tr>
<tr>
<td>Titanium</td>
<td>5.8</td>
</tr>
</tbody>
</table>

Tab. 9.2: ECU Economic Pressure Factors Table

<table>
<thead>
<tr>
<th>fp pressure</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.01 bar</td>
<td>2.0</td>
</tr>
<tr>
<td>0.1 bar</td>
<td>1.3</td>
</tr>
<tr>
<td>0.5 to 7 bar (default)</td>
<td>1.0</td>
</tr>
<tr>
<td>50 bar</td>
<td>1.5</td>
</tr>
<tr>
<td>100 bar</td>
<td>1.9</td>
</tr>
</tbody>
</table>

Tab. 9.3: ECU Economic Temperature Factors Table

<table>
<thead>
<tr>
<th>ft Temperature</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 to 100°C (default)</td>
<td>1.0</td>
</tr>
<tr>
<td>300°C</td>
<td>1.6</td>
</tr>
<tr>
<td>500°C</td>
<td>2.1</td>
</tr>
</tbody>
</table>
For the internal combustion engine, a module cost factor has been fitted based on the data given in [15] for a standard CHP unit using natural gas. This module cost data already includes an installation factor for transport, installation and startup. The materials of construction, pressure and temperature dependency factor shown above are not applied.

The default specific cost factors for each ECU are shown in the figures of the following sections.

9.3 ECU Types

The main ECUs include the heat pump, mechanical vapour recompression and thermal vapour recompression. Although not strictly an ECU, the internal combustion engine has also been included in this section.

9.3.1 Heat Pump

The heat pump models a standard heat pump cycle that includes a regenerator heat exchanger as shown in Appendix A.2. After setting the correct parameters for the heat pump, two streams are created and inserted into the target result stream population - a condenser stream and an evaporator stream (see Fig. ??). Changes to the parameters automatically update the Target Result immediately unless an infeasible value is entered resulting in non-convergence results (e.g entered condensation temperature above critical temperature).

Grand Composite Curve:

To aid in the sizing of a heat pump ECU, different forms of the Grand Composite Curve (GCC) have been included in the Heat Pump Optimization group box. The different forms of the GCC include the following:

1. Process-Streams only
2. Process- and ECU-Streams w/o own Streams
3. Process- and all ECU-Streams

Option 1. is used to quickly see the original GCC before any ECU streams have been included.
Option 2. shows the GCC when all other ECU streams have been included and the ECU of the present heat pump are not included (this GCC is most effective for setting the temperature and power levels of the present heat pump).

Option 3. displays the GCC with all ECU streams included to see how the GCC of the associated target result operating case or time slice looks.
The input parameters of the heat pump are described in the following table. The coefficient of performance has been added to display the calculated COP of the heat pump based on the thermodynamic cycle.

**Note:** Please see section 12 list of symbols for information regarding the economic data parameters. The base quantity for the heat pump is the **compressor electrical power**.
Tab. 9.4: Heat Pump Input Parameters

<table>
<thead>
<tr>
<th>Heat Pump Parameters</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of Refrigerant</td>
<td>Refrigerant used in the thermodynamic cycle calculation</td>
<td></td>
</tr>
<tr>
<td>Evaporation Temperature</td>
<td>Temperature in the evaporator at which the refrigerant evaporates</td>
<td>°C</td>
</tr>
<tr>
<td>Maximum Heat Rate at Evaporation Temperature</td>
<td>The heat rate measured from the GCC of the original composite curve before the ECU is added at the given evaporation temperature</td>
<td>kW</td>
</tr>
<tr>
<td>Condensation Temperature</td>
<td>Temperature in the condenser at which the refrigerant condenses</td>
<td>°C</td>
</tr>
<tr>
<td>Maximum Heat Rate at Condensation Temperature</td>
<td>The heat rate measured from the GCC of the original composite curve before the ECU is added at the given condensation temperature</td>
<td>kW</td>
</tr>
<tr>
<td>Evaporation or Condensation Duty is Entered</td>
<td>Flag to set if either the evaporation or condensation duty is entered (Given this value the other is calculated)</td>
<td>[-]</td>
</tr>
<tr>
<td>Evaporation Duty</td>
<td>The duty of the heat transferred in the evaporator</td>
<td>kW</td>
</tr>
<tr>
<td>Condensation Duty</td>
<td>The duty of the heat transferred in the condenser</td>
<td>kW</td>
</tr>
<tr>
<td>Isentropic Efficiency</td>
<td>Efficiency of compressor process</td>
<td>[-]</td>
</tr>
<tr>
<td>Drive Efficiency</td>
<td>Efficiency to include mechanical and electrical losses</td>
<td>[-]</td>
</tr>
<tr>
<td>COP</td>
<td>Total electrical requirement to operate the compressor</td>
<td>[-]</td>
</tr>
<tr>
<td>Pel - Compressor Electricity</td>
<td>Total electrical requirement to operate the compressor</td>
<td>kW</td>
</tr>
<tr>
<td>Investment Cost Excluding Heat Exchangers</td>
<td>Total investment cost for heat pump components outside the heat exchanger costs</td>
<td>CHF</td>
</tr>
<tr>
<td>Electricity Costs</td>
<td>Costs of electricity based on the price set in the global economic dialog</td>
<td>CHF/year</td>
</tr>
</tbody>
</table>
9.3.2 Internal Combustion Engine

The internal combustion engine calculation is used for assessing the heat integration potential of a combined heat and power unit (CHP). Details related to the algorithm and other calculation aspects are reviewed in Appendix A.3. After setting the correct parameters for the engine three streams are created and inserted into the target result stream population - a water stream, an oil stream and an exhaust air stream (see Fig. 9.1). Changes to the parameters automatically update the target result immediately unless an infeasible value is entered or non-convergence results.

![Fig. 9.1: Internal Combustion Engine ECU Parameter Dialog. Module based economic parameters are used for the Economic Data [15].](image)

The parameters of the engine are described in the following table.

The key parameter is the desired electrical power generation (Pel) expected from the internal combustion engine. This value is typically use by manufac-
tures to specify the capacity of the chosen engine.

**Note:** Please see section 12 list of symbols for information regarding the economic data parameters. The base quantity for the internal combustion engine is the *generated electrical power* $P_{el}$.

Tab. 9.5: Internal Combustion Engine Parameters

<table>
<thead>
<tr>
<th>Engine Parameters</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>$P_{el}$</td>
<td>Generated electrical power of the engine</td>
<td>kW</td>
</tr>
<tr>
<td>Exhaust Gas temperature range</td>
<td>Temperature from which the exhaust gas stream is cooled to</td>
<td>°C</td>
</tr>
<tr>
<td>Water temperature range</td>
<td>Temperature from which the water stream is cooled to</td>
<td>°C</td>
</tr>
<tr>
<td>Oil temperature range</td>
<td>Temperature from which the oil stream is cooled to</td>
<td>°C</td>
</tr>
<tr>
<td>Water heat duty load ratio</td>
<td>Heat duty load ratio used to calculate the energy that can be derived from the created oil stream</td>
<td>[-]</td>
</tr>
<tr>
<td>Oil heat duty load ratio</td>
<td>Heat duty load ratio used to calculate the energy that can be derived from the created oil stream</td>
<td>[-]</td>
</tr>
<tr>
<td>Exhaust Gas stream heat recovery</td>
<td>Heat recovery for the exhaust gas stream based on flame temperature calculation</td>
<td>kW</td>
</tr>
<tr>
<td>Water stream heat recovery</td>
<td>Heat recovery approximated for the water stream</td>
<td>kW</td>
</tr>
<tr>
<td>Oil stream heat recovery</td>
<td>Heat recovery approximated for the oil stream</td>
<td>kW</td>
</tr>
<tr>
<td>Fuel mass flow</td>
<td>Flow of the gas fuel</td>
<td>kg/s</td>
</tr>
<tr>
<td>Air Factor</td>
<td>Ratio of air to fuel flow rate</td>
<td></td>
</tr>
<tr>
<td>Fuel Composition</td>
<td>Mole fractions of methane, ethane, propane and butane in the fuel feed</td>
<td>mole/mole</td>
</tr>
<tr>
<td>Module Investment Cost</td>
<td>Total investment cost for the engine components outside the combustion gas exchanger cost</td>
<td>CHF</td>
</tr>
</tbody>
</table>

**Note:** The mass flow rate of the combustion gas is calculated and displayed on a dry basis as is the norm in engineering calculations involving humid air streams such as combustion gas.

The investment cost for the internal combustion engine is based on the mod-
ule cost given in [15]. Given this module cost basis the weighting factors for
temperature, pressure and materials of construction have been disable. The
cost for internal heat exchangers are included in the module cost and only
those costs for heat exchangers to handle additional cooling for the exhaust
gas, water and oil can be handled separately in targeting or in detailed HEN
design.

9.3.3 Mechanical Vapour Recompression

The mechanical vapour recompression (MVR) models a standard compres-
sion cycle as shown in Appendix A.5. After setting the correct parameters
for the MVR and selecting a water vapour stream to be upgraded in the
compressor, two new streams are created and inserted into the target result
stream population (upgraded and reduced). The originally selected stream is
removed (see Fig. 9.2) from the stream population. Changes to the parameter-
ters automatically update the target result immediately unless an infeasible
value is entered or non-convergence results.

Grand Composite Curve:

To aid in the sizing of a MVR ECU different forms of the Grand Composite
Curve (GCC) have been included in the MVR Optimization group box. The
different forms of the GCC include the following:

1. Process-Streams only
2. Process- and ECU-Streams w/o own Streams
3. Process- and all ECU-Streams

Option 1. is used to quickly see the original GCC before any ECU streams
have been included.

Option 2. shows the GCC when all other ECU streams have been included
and the ECU of the present MVR are not included (this GCC is most effective
for setting the temperature and power levels of the present MVR).

Option 3. displays the GCC with all ECU streams included to see how the
GCC of the associated target result operating or time slice looks.

Note: The water vapour stream to be upgrade must be defined as
R718 fluid which uses the equation of state given in [4].
Fig. 9.2: Mechanical Vapour Recompression ECU Parameter Dialog

The parameters of the MVR dialog are described in the following table.

**Note:** Please see section 12 list of symbols for information regarding the economic data parameters. If the selected Vapour Stream to Upgrade exists in multiple pieces of equipment the investment and operating costs will be increased proportionally due to the greater $P_{el}$ necessary for each equipment. The base quantity for the MVR is the compressor **electrical power**.
### Mechanical Vapour Recompression Parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vapour Stream to Upgrade</td>
<td>Water vapour stream to be upgraded by passing through the compressor</td>
<td></td>
</tr>
<tr>
<td></td>
<td><em>(limited to refrigerant R718 water fluid)</em></td>
<td></td>
</tr>
<tr>
<td>Condensation Temperature - Upgraded Vapour</td>
<td>Temperature at which the upgraded vapour stream it to condense at</td>
<td>°C</td>
</tr>
<tr>
<td>Maximum Heat Rate at Condensation Temperature</td>
<td>The heat rate measured from the GCC of the original composite curve before the ECU is added at the given condensation temperature</td>
<td>kW</td>
</tr>
<tr>
<td>Heat Rate of Selected Waste Heat stream</td>
<td>The heat rate taken directly from the selected waste heat stream</td>
<td>kW</td>
</tr>
<tr>
<td>Mass Flow Rate of Selected Waste Heat Stream</td>
<td>Flow rate portion of the waste heat stream to be upgraded in the compressor</td>
<td>kg/s</td>
</tr>
<tr>
<td>Isentropic Efficiency</td>
<td>Efficiency of the compressor process</td>
<td></td>
</tr>
<tr>
<td>Drive Efficiency</td>
<td>Efficiency to include mechanical and electrical losses</td>
<td></td>
</tr>
<tr>
<td>Investment Cost Excluding Heat Exchangers</td>
<td>Total investment cost for MVR components outside the heat exchanger costs</td>
<td>CHF</td>
</tr>
<tr>
<td>Electricity Cost</td>
<td>Cost of electricity based on the price set in the global economic dialog</td>
<td>CHF/year</td>
</tr>
</tbody>
</table>

### 9.3.4 Thermal Vapour Recompression

The thermal vapour recompression (TVR) models a standard ejector as shown in Appendix A.4. After setting the correct parameters for the TVR and selecting the water vapour stream to be upgraded by steam injection, two new streams are created and inserted into the target result stream population (upgraded and reduced). The originally selected stream is removed (see Fig. 9.3) from the stream population. Changes to the parameters automatically update the target result immediately unless an infeasible value is entered or non-convergence results.

**Grand Composite Curve:**

To aid in the sizing of a TVR ECU different forms of the **Grand Composite Curve (GCC)** have been included in the TVR Optimization group box. The different forms of the GCC include the following:

1. Process-Streams only
2. Process- and ECU-Streams w/o own Streams
3. Process- and all ECU-Streams

Option 1. is used to quickly see the original GCC before any ECU streams have been included.

Option 2. shows the GCC when all other ECU streams have been included and the ECU of the present TVR are not included (this GCC is most effective for the temperature and power levels of the present TVR).

Option 3. displays the GCC with all ECU streams included to see how the GCC of the associated target result operating or time slice looks.

Note: The water vapour stream to be upgrade must be defined as **R718 (Water)** fluid which uses the equation of state given in [4].
Fig. 9.3: Thermal Vapour Recompression ECU Parameter Dialog

The parameters of the TVR are described in the following table.

**Note:** Please see section 12 list of symbols for information regarding the economic data parameters. If the selected Vapour Stream to Upgrade exists in multiple pieces of equipment the investment and operating costs will be increased proportionally due to the greater steam rate necessary for each equipment. The base quantity for the TVR is the total steam mass flow rate.
### Thermal Vapour Recompression Parameters

<table>
<thead>
<tr>
<th><strong>Description</strong></th>
<th><strong>Units</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Required Mass Flow of Vapour Stream to Upgrade</td>
<td>kg/s</td>
</tr>
<tr>
<td>Condensation temperature</td>
<td>°C</td>
</tr>
<tr>
<td>Steam Pressure</td>
<td>bar</td>
</tr>
<tr>
<td>Maximum Heat Rate at Condensation Temperature</td>
<td>kW</td>
</tr>
<tr>
<td>Heat Rate of Selected Waste Heat Stream</td>
<td>kW</td>
</tr>
<tr>
<td>Required Mass Flow Rate of Vapour Stream To Upgrade</td>
<td>kg/s</td>
</tr>
<tr>
<td>Expansion Efficiency</td>
<td>-</td>
</tr>
<tr>
<td>Drive Efficiency</td>
<td>-</td>
</tr>
<tr>
<td>Steam Mass Flow Rate</td>
<td>kg/s</td>
</tr>
<tr>
<td>Investment Cost Excluding Heat Exchangers</td>
<td>CHF</td>
</tr>
</tbody>
</table>

**Description**
- **Required Mass Flow of Vapour Stream to Upgrade**: Water vapour stream to be upgraded by passing through the TVR (limited to refrigerant R718 water fluid).
- **Condensation temperature**: Temperature at which the upgraded vapour stream is to condense.
- **Steam Pressure**: Pressure of saturated steam used to upgrade the water vapour stream to the required condensation temperature.
- **Maximum Heat Rate at Condensation Temperature**: The heat rate measured from the GCC of the original composite curve before the ECU is added at the given condensation temperature.
- **Heat Rate of Selected Waste Heat Stream**: The heat rate taken directly from the selected waste heat vapour stream.
- **Required Mass Flow Rate of Vapour Stream To Upgrade**: Flow rate of waste heat vapour stream to be upgraded in the compressor.
- **Expansion Efficiency**: Efficiency of the expansion process.
- **Drive Efficiency**: Efficiency to include mechanical and electrical losses.
- **Steam Mass Flow Rate**: Calculated mass flow rate of required steam to upgrade the waste heat stream to the required condensation temperature.
- **Investment Cost Excluding Heat Exchangers**: Total investment cost for TVR components outside the heat exchanger Costs.
9.4 How to Integrate an ECU

In order to integrate an ECU a target result has to be first created as shown in chapter 6.3. An ECU belongs to a particular target result and is dependent on the associated set of streams assigned when selecting the processes for the particular target group. If a target result contains multiple operating cases or is a single product batch process with multiple time slices then an ECU can be selected per operating case or time slice. However, only a single instance of a particular ECU type can be added per operating case or time slice (e.g. only one heat pump instance per operating case or time slice).

To integrate an ECU:

1. Select and highlight the particular Target Result node in the Target Explorer
2. Right click on the Target Result node to view the context menu and select Add Energy Conversion Unit... > Add Heat Pump... > <OC1...OCn>

   **Note:** either operating cases (OCs) or batch process time slices (TSs) can be selected.
3. Enter the appropriate process information on the ECU mask to configure the ECU according to the desired sizing

   **Note:** Presently PinCH 2.0 does not yet fully support the integration of ECUs when an MOC Group has been defined with semi-continuous processes Therefore, it is recommended to model each operating case separately in this case and not use the MOC group.
9. Integrate Energy Conversion Units
Chapter 10

Turn Saving Opportunities into HENs

10.1 Introduction

Following the calculation of a Target Result as discussed in section 6, a detailed heat exchanger network (HEN) design can be developed. This last step (step 10 - section 3.1) of the PinCH process requires substantial effort as there can be many possible solutions that need to be evaluated and compared. Also, in the case of retrofitting an existing process, the user will be required to balance the need to derive a network that achieves the calculated energy and cost targets with the realities and constraints of existing capital expenditure. It is a difficult process; however, the HEN design capabilities of PinCH provide an easy framework to rapidly develop new designs and to compare them. In PinCH there are three main types of HEN grids:

1. MER HEN Grid
2. Relaxed HEN Grid
3. HEN Grid which Includes Soft Stream Parts

In all cases can either a fully balanced HEN grid, which includes all intermediate utilities, or a grid with just default hot and cold utilities be created.

In order to create a heat exchanger network with maximum energy recovery (MER), the network is divided into two separate parts by the pinch temperature (a part that is entirely above the pinch line and a part that is entirely
below as shown in Fig. 10.1. This figure highlights many of the key user interface graphical components available on the PinCH HEN grid before beginning to build the network. As can be seen in the figure, two completely independent thermodynamic systems are formed that can be evaluated independently from each other (one above the pinch temperature and one below the pinch temperature). This division aids in reducing the complexity of the design problem and maintains one of the key pinch design rules of ensuring not to transfer heat across the pinch. The theory and documentation of the pinch design rules are not covered in this manual and the reader is recommended to review references [1 - 2],[5 - 10] and [14].
10.2 How to Create a HEN Grid

A HEN grid is bound to a particular Target Result and its corresponding $\Delta T_{\text{min}}$ for the given composite curve. Therefore, upon creating a HEN grid the pinch temperature and the associated utility values and streams are used to initialize the streams on the HEN grid.

To Create a MER HEN Grid:

1. Create a Target Result (see section 6).
2. Right click on the node of the created Target Result and select Add HEN -> Add MER HEN.

**Note:** If multiple utilities have been included in the Target Result a message box will appear asking if you would like to create either a balanced grid, which includes all the internal utilities, or a standard MER HEN grid where only the default Hot and Cold Utility are included.

A Relaxed HEN Grid allows the evaluation and analysis of an existing or retrofit network in order to compare with the MER HEN grid designs. Cross pinch heat transfer is represented by a diagonal line connecting a hot and cold stream heat exchanger (whereas non-cross pinch heat transfer is always shown with horizontally placed heat exchangers). In addition, $\Delta T_{\text{min}}$ is allowed to be not be respected permitting heat exchanger connection to be made where this value is less than that specified in the Target Result.

To create a Relaxed HEN Grid:

1. Create a Target Result (see section 6).
2. Right click on the node of the created Target Result and select Add HEN > Add Relaxed HEN.

A HEN Grid with Soft Stream Parts allows the design of HEN grids which include the soft stream parts that were excluded in the Targeting calculation (see sections 4 and 6). The soft energy that was excluded is shown in a Soft Pool graphical object which allows the exceeding of the calculated MER values for the hot and cold utilities. This ensures the HEN grid energy balance can be completed, yet provides the flexibility for the user to create HEN designs that use the soft parts when necessary.
Note: This functionality is only recommended for expert users as a considerable amount of knowledge and experience is necessary in order to make the correct decision when to violate the MER design and use such soft streams directly.

10.3 Adding an Heat Exchanger

Heat exchanger matches can be easily added to the HEN grid using drag and drop functionality. The connection of two streams is limited by several key rules:

- Only a hot and a cold stream can be matched together
- Temperature crosses are not allowed
- The process $\Delta T_{\text{min}}$ must be respected (except for a Relaxed HEN Grid)
- The energy balance must be maintained

Fig. 10.2 illustrates an heat exchanger network grid with several heat exchangers added to a MER HEN design.
10.3 Adding an Heat Exchanger

Note: PinCH will allow an HEX to exceed its energy balance limitation, but will mark the heat exchanger in red.

How to Add an Heat Exchanger:

1. Create a HEN Grid
2. Left click on a hot stream to add a stream part
3. Left click on a cold stream to add a stream part (Note: Ensure the $MC_p$ rule based on the Pinch Design Method is maintained - $MC_p$ of a stream going in a direction away from the pinch is greater than the $MC_p$ of a stream going towards the pinch)
4. Left click on one of the newly added stream parts and hold and drag to the other stream part (ensure both stream parts are on the same
vertical row as diagonal placement is not allowed for non-cross pinch heat exchange)
5. Release the left mouse button to create the match.
6. Double click in the HEX duty box to **tick-off** the entire duty of one of the stream (Note: According to the Pinch Design Method, it is recommended to always use the entire duty of one of the streams in a match)
7. Optionally, the temperature coming out of the stream parts can be directly specified

**Note:** PinCH will automatically check for the pinch design rules and ensure the energy balance is maintained. If the pinch design rules are not met then matching stream parts will be disabled. In addition, if an exchanger becomes pinched internally PinCH will only tick-off the duty up to the point of maintaining the $\Delta T_{\text{min}}$.

### 10.4 Splitting and Merging

The ability to split a stream is very important in order to be able to completing a HEN design and not be limited by the $MC_p$ values of the streams above and below the pinch. The rules of when to split a stream are not covered in this document. However, the user is encourage to review the following references for more information [1 - 2],[5] and [10].

**How to Split a Stream:**

1. Create a MER HEN Grid
2. Left click on either a hot stream or a cold stream and select Split Stream
3. Select the $MC_p$ box associated with one of the branches and enter a new $MC_p$ value

**Note:** PinCH will automatically update the other MCp value and adjust the energy duties in each branch. Connections to other appropriate streams can now be made (see Fig. 10.3).
Merging is limited to single streams that were originally split. The merge must be isothermal and require the placing of a stream part on each split branch before merging.
How to Merge Stream Branches:

1. Create a **MER HEN Grid**

2. **Split a stream**

3. Add a stream part to each created stream branch

4. Left click on either branch and select **Merge Branches**

5. Select the MCp box associated with one of the branches and enter a new MCp value as required

![Fig. 10.4: HEN Grid With a Stream Merge](image)

**Note:** Heat exchanger parts will be automatically added to each branch if a merge is made to a split that does not contain any manually added heat exchanger parts.
10.5 Analyzing Results

There are several graphical objects that can be used to analyze the results of a HEN grid design. The first is the HEN Table. This table displays upon initialization the Targeting Result data. The data is conveniently broken out into energy recovery values and utility values. As heat exchangers are added to the HEN grid then the design values are automatically added to the Design row in the table. This provides a convenient layout for comparing the design with targets in helping determine if the design is appropriate or not. Fig. 10.5 shows the completed result for the HEN design given previously in Fig. 10.3 and shows how close the target and design values are.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Design 3,428</td>
<td>4</td>
<td>1,746</td>
<td>997,512</td>
<td>2</td>
<td>168</td>
<td>163,061</td>
</tr>
<tr>
<td>Target 2,428</td>
<td>4</td>
<td>1,476</td>
<td>933,625</td>
<td>2</td>
<td>169</td>
<td>165,290</td>
</tr>
</tbody>
</table>

Fig. 10.5: HEN Table for Completed HEN Design

A second method of analyzing results is to use the HEX table (see Fig. 10.6). On this table is displayed the specific information for each placed HEX on the HEN Grid. The information includes the hot and cold streams properties and well as the HEX area, LMTD, k-value, cost, profitability and type selected. This data grid conveniently allows the analysis and comparison of each exchanger with the others.

How to Open the HEX Table:

1. Create a **MER HEN Grid**
2. Add an **Heat Exchanger**
3. Select the line joining the two heat exchanger part (Note: the line should be highlighted in black)
4. Double click the black highlighted line to open the HEX Table.

Finally, the HEX can easily be used to calculate the total real cost of the design by adding up the cost column values. This can be simply accomplished by copy and paste of data grid information into a spreadsheet program such as Microsoft Excel.

The individual HEXs are calculated by default assuming counter current heat exchange. However, it is possible to change the form factor used in the UA calculation to better represent a non-ideal situation in a real plant setting. Fig. 10.7 shows the options available for changing the parameters that affect
the area and cost calculation of the individual heat exchanger. The film heat transfer coefficient ($\alpha$) values are initialized from the stream table; however, they can be overwritten in the Heat Transfer Coefficient boxes. The same is true for the Heat Exchanger Cost parameters. Finally the calculated form factor correlations are derived from [11].
10.6 HEN Design Support Tools

Two tools presently exist to support the analysis of heat exchanger networks and heat exchangers. The first is the Driving Force Plot (see Fig. 10.8).

This graphic is useful for assessing how well a particular heat exchanger approximates the vertical heat transfer model. The plot is created by calculating the differences in terminal temperatures of a counter-current heat exchanger versus the Driving Force Plot derived from the composite curve. The driving force plot is simply the temperature difference at the inflection points of the composite curve versus the cold temperature at the same point. The application and analysis of the driving force plot is reviewed in [1].

The second support tool is the Enthalpy versus Temperature Plot (HT Plot - see Fig. 10.8). This plot provides an effective means of checking the driving force of the selected heat exchanger relative to the given $\Delta T_{\text{min}}$. This plot is very effective at quickly visualizing the driving forces within a heat exchanger, in particular the LMTD which has a significant impact on the required area. In addition, an internal heat exchanger pinch can be identified if multiple segments are used that result in a point within the heat exchanger where the $\Delta T_{\text{min}}$ occurs (and not at the end of the exchanger).

Given that the HEN grids can become quite large for stream populations greater than ca. 15 streams, the user can zoom on the HEN grid to focus on specific regions of the design. This is done by first positioning the cursor to focus on and then using the mouse wheel to zoom in on this region.
The order of the streams in the HEN Grid are initialized directly from the order of the streams in the working stream table. The order of the streams in the working stream table can be simply changed before adding the HEN by selecting Alt + up/down arrow keys (same as for the process stream table itself).

Another effective functionality to help in building a HEN design is the ability to add rows within the middle of the HEN grid before or after previously placed HEXs. This action prevents the overlap of HEXs on the same row and can be done by simply pressing Ctrl and then pressing the left mouse button.

Finally, detailed stream information can be viewed directly on the HEN grid by positioning the mouse cursor directly over the blue or red line representing either the cold or hot stream. A tooltip is automatically displayed to show the stream name and the specific segment data such as Tin, Tout, CP and total enthalpy change.
Chapter 11

Menu Items, Keyboard Shortcuts and the Workbench

11.1 Menus Items and Keyboard Shortcuts

PinCH includes many menu items to allow the user to do actions such as manage the project and its settings, customize the layout, import and export data and access help online information. In addition, the PinCH menus display equivalent keyboard shortcuts next to many of the menu commands, so the user can execute commands directly from the keyboard.

It should be noted that the menu items are not always available and depend on the state of the program or action being executed. An example is the Save menu item as it will be disabled when no changes have been made to a project. If a change has been made then this menu item is enabled and the program title is appended with “*” to indicate that changes have been made and must be saved or they will be lost. Upon closing you will be asked to confirm if you would like to save your changes.

The following tables list the commands presently available in PinCH and any associated keyboard shortcut that exists.
### Tab. 11.1: File Menu Items

<table>
<thead>
<tr>
<th>File Menu Items</th>
<th>Keyboard Shortcut</th>
</tr>
</thead>
<tbody>
<tr>
<td>New Project</td>
<td>Ctrl + N</td>
</tr>
<tr>
<td>Open Project</td>
<td>Ctrl + O</td>
</tr>
<tr>
<td>Close Project</td>
<td></td>
</tr>
<tr>
<td>Save Project</td>
<td>Ctrl + S</td>
</tr>
<tr>
<td>Save Project As...</td>
<td></td>
</tr>
<tr>
<td>Import Stream Table</td>
<td></td>
</tr>
<tr>
<td>Export Stream Table</td>
<td></td>
</tr>
<tr>
<td>Settings</td>
<td></td>
</tr>
<tr>
<td>Exit</td>
<td></td>
</tr>
</tbody>
</table>

### Tab. 11.2: Edit Menu Items

<table>
<thead>
<tr>
<th>Edit Menu Items</th>
<th>Keyboard Shortcut</th>
</tr>
</thead>
<tbody>
<tr>
<td>Undo</td>
<td>Ctrl + Z</td>
</tr>
<tr>
<td>Redo</td>
<td>Ctrl + Y</td>
</tr>
<tr>
<td>Copy</td>
<td>Ctrl + C</td>
</tr>
<tr>
<td>Paste</td>
<td>Ctrl + V</td>
</tr>
</tbody>
</table>

### Tab. 11.3: View Menu Items

<table>
<thead>
<tr>
<th>View Menu Items</th>
<th>Keyboard Shortcut</th>
</tr>
</thead>
<tbody>
<tr>
<td>Save Custom Layout</td>
<td></td>
</tr>
<tr>
<td>Load Custom Layout</td>
<td></td>
</tr>
<tr>
<td>Restore Initial Layout</td>
<td></td>
</tr>
<tr>
<td>Process Stream Table</td>
<td></td>
</tr>
<tr>
<td>Utility Stream Table</td>
<td></td>
</tr>
<tr>
<td>Project Explorer</td>
<td></td>
</tr>
<tr>
<td>Target Explorer</td>
<td></td>
</tr>
<tr>
<td>Properties</td>
<td></td>
</tr>
<tr>
<td>Help</td>
<td></td>
</tr>
<tr>
<td>Results Output</td>
<td></td>
</tr>
</tbody>
</table>
The function of most of the menu items can be understood from the menu item name. Several for the more important menu items are listed and explained next.

- **Settings**

  After selecting the Settings menu item a new settings dialog is displayed in the details docking position in the center of the workbench. This dialog allows the user to change the display of the help information, change the engineering unit set and change the currency. The engineering unit sets are the combinations of either kW/kWh, MW/MWh and kW/MWh (the default). The currency is limited to CHF (the default), USD and Euro whereby the a conversion rate base on CHF must be supplied to calculate USD or Euro accordingly.

  **Note:** The unit sets are only for changing the display values shown in data grids or on charts. Heat flow or enthalpy values are always in kW. However, currencies can be entered in chosen currency set.

- **Import and Export Data**

  Several options exist to import or export data from PinCH. The menu item Import Stream Table and Export Stream Table can be used to easily transfer data from Excel (see section 4.7 for an example). In addition, each data grid used for displaying results can be copied and pasted directly into applications such as Excel or Word.

  A new feature has been added to allow the exporting of the area matrices internally calculated for each multiple operating case (OC) or batch time slice (TS). Please see section 4.7 for an example.
11.2 Keyboard Shortcuts - Stream Table

Several keyboard shortcuts are available within PinCH to enable commands to be executed when working with the stream table.

How to Change the Order of Streams:

1. Select a stream in the stream table.
2. Press and hold down the Alt key.
3. Select the Up or Down arrow key to move the stream to a different position in the table.

**Note:** The order of the stream in the working stream table can also be reordered independently from the main stream table. Conveniently, the order of the streams in the working stream table are used to initialize the stream order of the HEN grid when first created. The user can control the HEN grid stream order by placing at the top the streams to be placed starting on the right side in the HEN grid.

How to Assign Multiple Rows to a Process:

In section 5.3 it was shown how to assign a single stream to a process. The following illustrates how to assign multiple streams.

1. Press and hold the Ctrl key.
2. Select specific rows in the Stream Table to highlight.
3. Assign the selected streams to the working stream table.

**Note:** Consecutive rows of streams can be selected by pressing and holding the Shift key and then selecting a stream at another location in the stream table. All the streams between the two selected streams will be highlighted and can be assigned to the working streams table.

11.3 Keyboard Shortcuts - HEN Grid

Several keyboard shortcuts are available within PinCH to enable commands to be executed when working with the HEN Grid.
11.4 Workbench

11.4.1 Dockable Windows

The workbench is composed of dockable windows that can be moved and repositioned to any docking position on the workbench. In addition, the dockable window can be left in a floating position to be displayed, for example, on a second computer screen. Both of these options allow easy comparison of results or data that has been entered (i.e. Chap. 6.4 Fig. 6.3).

However, the situation may arise whereby you want to automatically return to a simpler default layout of the windows. As shown above for...
the View commands (Tab. 11.3) it is possible to restore the original default layout (i.e. Restore Initial Layout menu) used when first installing PinCH. In addition you can restore a custom layout that you can save at any time that maintains your desired dockable window arrangement (i.e. Save Customer Layout/Load Customer Layout).

11.4.2 Properties Window

A new dockable window added in the latest version of PinCH is the properties window. On the window the user can see specific data related to any object selected in the PinCH user interface. For example, selecting a stream in the stream table will display the stream name and the equipment the has been assigned. These values can be changed as needed. Other important objects that display data in the Properties Window includes the Equipment and the individual OC/TS Target Result.

11.4.3 Explorer Nodes

In the Project and Target Explorer, data is presented in a tree node structure. All automatically added nodes based on the a command (e.g. Add Target Result) use a standardized numerical naming process. These names can be changed at any time by pressing F2 when highlighted. In addition, these automatically added nodes can be conveniently shifted by drag and drop using the mouse. This gives the user the ability to reorder the nodes as needed.
Chapter 12

List of Symbols And Acronyms

12.1 Symbols

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Definition</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Heat exchanger surface area</td>
<td>m²</td>
</tr>
<tr>
<td>A_{min}</td>
<td>Minimum area target for an entire network</td>
<td>m²</td>
</tr>
<tr>
<td>A_{min,L}</td>
<td>Minimum area contribution of exchanger specification</td>
<td>m²</td>
</tr>
<tr>
<td>a</td>
<td>Fixed investment cost component</td>
<td>CHF</td>
</tr>
<tr>
<td>C</td>
<td>Investment Cost</td>
<td>CHF</td>
</tr>
<tr>
<td>C_b</td>
<td>Base Cost</td>
<td>CHF</td>
</tr>
<tr>
<td>C_p</td>
<td>Specific heat capacity (at constant pressure)</td>
<td>kJ/kg K</td>
</tr>
<tr>
<td>C_P</td>
<td>Heat capacity flow (at constant pressure)</td>
<td>kW/K</td>
</tr>
<tr>
<td>f_m</td>
<td>Materials of construction factor</td>
<td>[-]</td>
</tr>
<tr>
<td>f_p</td>
<td>Pressure factor</td>
<td>[-]</td>
</tr>
<tr>
<td>f_t</td>
<td>Temperature factor</td>
<td>[-]</td>
</tr>
<tr>
<td>f_i</td>
<td>Installation faction</td>
<td>[-]</td>
</tr>
<tr>
<td>H</td>
<td>Heat/Energy</td>
<td>kWh</td>
</tr>
<tr>
<td>Δ\dot{H}</td>
<td>Heat flow/Enthalpy flow</td>
<td>kW</td>
</tr>
<tr>
<td>Index</td>
<td>Cost Index (e.g. default values CE Plant Cost Index)</td>
<td>[-]</td>
</tr>
<tr>
<td>L</td>
<td>Exchanger specification</td>
<td>[-]</td>
</tr>
<tr>
<td>Ni</td>
<td>Number of enthalpy intervals</td>
<td>[-]</td>
</tr>
<tr>
<td>NE</td>
<td>Number of different exchanger specification</td>
<td>[-]</td>
</tr>
<tr>
<td>NHL</td>
<td>Number of hot streams with exchanger specification L</td>
<td>[-]</td>
</tr>
<tr>
<td>NCL</td>
<td>Number of cold streams with exchanger specification L</td>
<td>[-]</td>
</tr>
<tr>
<td>Q_b</td>
<td>ECU cost base quantity for an ECU</td>
<td>kW, kg/s</td>
</tr>
<tr>
<td>Q_{(i,j)}</td>
<td>Heat rate between hot stream i and cold stream j</td>
<td>W</td>
</tr>
<tr>
<td>Symbol</td>
<td>Description</td>
<td>Unit</td>
</tr>
<tr>
<td>--------</td>
<td>-------------</td>
<td>------</td>
</tr>
<tr>
<td>$\Delta T$</td>
<td>Minimum temperature difference</td>
<td>K</td>
</tr>
<tr>
<td>$X$</td>
<td>Humidity ratio</td>
<td>kg H2O / kg Dry Air</td>
</tr>
<tr>
<td>$x_0, x_1$</td>
<td>Quality (bubble point, dew point)</td>
<td>[-]</td>
</tr>
<tr>
<td>$\alpha$</td>
<td>Film heat transfer coefficient</td>
<td>W/m$^2$ K</td>
</tr>
<tr>
<td>$h_F$</td>
<td>Specific enthalpy waste heat vapour stream</td>
<td>kJ/kg</td>
</tr>
<tr>
<td>$h_{mix}$</td>
<td>Specific enthalpy mixture</td>
<td>kJ/kg</td>
</tr>
<tr>
<td>$h_T$</td>
<td>Specific enthalpy steam stream (Treibstoff)</td>
<td>kJ/kg</td>
</tr>
<tr>
<td>$i$</td>
<td>Hot stream</td>
<td>[-]</td>
</tr>
<tr>
<td>$j$</td>
<td>Cold stream</td>
<td>[-]</td>
</tr>
<tr>
<td>$k$</td>
<td>Enthalpy interval</td>
<td>[-]</td>
</tr>
<tr>
<td>$k$</td>
<td>Overall heat transfer coefficient</td>
<td>W/m$^2$ K</td>
</tr>
<tr>
<td>$m_T$</td>
<td>Mass flow steam stream (Treibstoff)</td>
<td>kg/s</td>
</tr>
<tr>
<td>$m_F$</td>
<td>Mass flow waste heat vapour stream (Forderstrom)</td>
<td>kg/s</td>
</tr>
<tr>
<td>$\xi_{Tr}$</td>
<td>Entrainment (reversible)</td>
<td>[-]</td>
</tr>
<tr>
<td>$\xi_T$</td>
<td>Entrainment (irreversible)</td>
<td>[-]</td>
</tr>
<tr>
<td>$\eta_D$</td>
<td>Diffusor efficiency</td>
<td>[-]</td>
</tr>
<tr>
<td>$\eta_E$</td>
<td>Expander efficiency</td>
<td>[-]</td>
</tr>
<tr>
<td>$\phi$</td>
<td>Relative humidity</td>
<td>[%]</td>
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### 12.2 Acronyms

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
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<tbody>
<tr>
<td>BCC</td>
<td>Balanced Composite Curve</td>
</tr>
<tr>
<td>BGCC</td>
<td>Balanced Grand Composite Curve</td>
</tr>
<tr>
<td>CC</td>
<td>Composite Curve</td>
</tr>
<tr>
<td>csv</td>
<td>Comma Separated Values format</td>
</tr>
<tr>
<td>CU</td>
<td>Cold Utility</td>
</tr>
<tr>
<td>DHR</td>
<td>Direct Heat Recovery</td>
</tr>
<tr>
<td>ECU</td>
<td>Energy Conversion Unit</td>
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<tr>
<td>el</td>
<td>Electrical</td>
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<tr>
<td>ETA</td>
<td>Energy Target Analysis</td>
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<tr>
<td>GCC</td>
<td>Grand Composite Curve</td>
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<tr>
<td>HVAC</td>
<td>Heating Ventilation Air Conditioning</td>
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<tr>
<td>HEX</td>
<td>Heat Exchanger</td>
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<td>HEN</td>
<td>Heat Exchanger Network</td>
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<td>HP</td>
<td>Heat Pump</td>
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<td>HS</td>
<td>Heat Storage</td>
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<tr>
<td>HU</td>
<td>Hot Utility</td>
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<tr>
<td>IDE</td>
<td>Integrated Development Environment</td>
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<tr>
<td>IHR</td>
<td>Indirect Heat Recovery</td>
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<tr>
<td>IL</td>
<td>Intermediate Loop</td>
</tr>
<tr>
<td>ISSP (GCC)</td>
<td>Indirect Source Sink Profile (GCC Based)</td>
</tr>
<tr>
<td>ISSP (Stream)</td>
<td>Indirect Source Sink Profile (Stream Based)</td>
</tr>
<tr>
<td>max</td>
<td>Maximum</td>
</tr>
<tr>
<td>mech</td>
<td>Mechanical</td>
</tr>
<tr>
<td>min</td>
<td>Minimum</td>
</tr>
<tr>
<td>MER</td>
<td>Minimum Energy Requirement, Maximum Energy Recovery</td>
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<tr>
<td>MOC</td>
<td>Multiple Operating Cases</td>
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<td>MVR</td>
<td>Mechanical Vapour Recompression</td>
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<tr>
<td>P</td>
<td>Power</td>
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<td>PA</td>
<td>Pinch Analysis</td>
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<tr>
<td>PI</td>
<td>Process Integration</td>
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<tr>
<td>png</td>
<td>Portable Network Graphics file</td>
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<td>Split GCC</td>
<td>Split Grand Composite Curve</td>
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<tr>
<td>TAM</td>
<td>Time Average Model</td>
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<tr>
<td>TS</td>
<td>Time Slice</td>
</tr>
<tr>
<td>TSM</td>
<td>Time Slice Model</td>
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<tr>
<td>TVR</td>
<td>Thermal Vapour Recompression</td>
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Chapter 13

Bibliography


Appendix A

Appendix
A.1 Area And Number Of Units

The internal calculations used for targeting are based on the approach published in [12]. This approach extends the traditional Uniform Bath formula to give the flexibility to apply different heat exchanger cost formulas for a given stream. In PinCH different cost formulas can be defined for either hot or cold utility exchangers or for process-process heat exchangers. In order to accomplish this differentiation in heat exchanger type, the area calculation is changed to provide a matchwise area distribution. The reader is encouraged to read the original paper for details for more information on the derivation of the following formula.

\[
A_{\text{min},L} = \sum_{k=1}^{N_i} \frac{1}{\text{LMTD},k} \sum_{i=1}^{NHL} \sum_{j=1}^{NCL} Q_{(i,j),k,L} \left( \frac{1}{\alpha_{i,j}} + \frac{1}{\alpha_{j,i}} \right)
\]

Given an area distribution for each heat exchanger match, it becomes imperative to establish the number of units that can be attributed to each type of heat exchanger specification. Like the area distribution, it is insufficient to assume an equal distribution as done in early targeting calculations; therefore, a unit distribution must be calculated. The chosen method for calculating a unit distribution is to start with the known number of matches above and below the pinch temperature assuming the “spaghetti” design vertical heat transfer model. Next, for each heat exchanger specification the number of matches above and below the process pinch can also be determined. These values can then be used to weight the number of units calculated in a standard manner to provide the number of units for a specific exchanger specification as shown in the following equation.

\[
N_{\text{unit},L} = (Na - 1) \frac{V_a, L}{V_a} + (Nb - 1) \frac{V_b, L}{V_b}
\]
A.2 Heat Pump Energy Conversion Unit

The heat pump energy conversion unit model provides a simplified representation of a heat pump with an internal regenerator. This model provides the heat integration streams representing the heat flow into the evaporator and out of the condenser. These process requirement streams are automatically included into the stream population for a particular targeting result operating case or time slice.

The required heat loads and temperatures for both the condenser and the evaporator are ideally sized using the grand composite curve for the original targeting result operating case or time slice and must be properly placed relative to the pinch. The user must supply the evaporator and condenser temperature as well as the power in either the condenser or the evaporator. Once this information is set the thermodynamic cycle can be solved.

The key calculation for the heat pump thermodynamic cycle is the isentropic calculation across the compressor. An isentropic efficiency entered on the heat pump dialog is used to simply calculate the actual enthalpy requirement across the compressor. The calculation uses an internal fluid phase flash calculation based on the equation of state in [4] to calculate the specific enthalpy exiting the compressor. Once this state is known an energy balance around the regenerator can be solved. The key assumptions in this balance equation are bubble point conditions at the outlet of the condenser, dew point conditions at the outlet of the evaporator and 5 K superheating over of the regenerator. The necessary flash calculations based on [4] are used to determine the required state data. The schemas of the heat pump as well as the thermal cycle illustrating the thermodynamic cycle are shown in the following figures.

Note: The Mechanical Vapour Recompression (MVR) ECU uses the same compressor calculation as the heat pump.
Fig. A.1: Heat Pump Energy Conversion Unit with Regenerator

Fig. A.2: $T,s$-diagram of Heat Pump Cycle
A.3 Internal Combustion Engine Energy Conversion Unit

The Engine calculation is used for assessing the energy integration effects of including a combined heat and power unit (CHP). The engine ECU incorporates a standard combustion calculation as well as heat load ratios. The result is the creation of three separate streams that are used as additional process streams and are automatically included in the stream population on the level of the Target Result. They are as follows:

1. Water flow stream
2. Engine oil stream
3. Exhaust Gas stream

The first two streams are calculated using a simple engine heat load calculation based on the factors already displayed on the engine dialog. These factors are based on standard ratios of waste heat energy to mechanical power generated. These ratios can be edited as needed.

The displayed Exhaust Gas heat flow does not use a load factor. The actual amount of exhaust gas waste heat is calculated internally based on a standard combustion calculation as listed in the following steps:

1. An air stream at 50% humidity and a temperature $\Delta T$ 15°C is assumed to be mixed and burned using a fuel with the given composition as entered on the Engine Dialog.
2. The mole fractions of $N_2$, $O_2$ and $H_2O$ in the air stream are calculated.
3. The ratio for moles of Air/moles of fuel and moles of exhaust air/moles of fuel are calculated based on the stoichiometric quantities and the given air to fuel ratio.
4. The moles of $O_2$, $N_2$, $CO_2$, $H_2O$ / mole of fuel are calculated.
5. The mole fractions of $N_2$, $O_2$, $CO_2$ and $H_2O$ in exhaust gas are calculated.
6. The mass fractions $N_2$, $O_2$, $CO_2$ and $H_2O$ in exhaust gas can then be calculated.

7. The mass fractions $N_2$, $O_2$, $CO_2$ and $H_2O$ in exhaust gas on a dry basis are calculated.

8. The dry basis mass fractions are then used to calculate enthalpies at inlet and outlet conditions by simply summing the enthalpy contributions of each exhaust gas component over the given cooling temperature range. The water enthalpy contribution is handled in a similar manner with the addition of the heat of condensation.

9. Given the inlet and outlet enthalpy data calculated in step 8, the stream segments are then calculated assuming an average $C_p$ per segment temperature range based on a dry basis for the combustion gas mass flow.

**Note:** The mass flow rate of the combustion gas is calculated and displayed on a dry basis as is the norm in engineering calculations involving humid air streams such as combustion gas.
A.4 Mechanical Vapour Recompression Energy Conversion Unit

The mechanical vapour recompression energy conversion unit operates with the similar goal as the thermal vapour recompression unit. However, this unit utilizes a compressor to achieve the temperature lift necessary to reach the required condensation temperature. The MVR is also in principle a heat pump, yet is an open system that takes an existing stream and upgrades it to a higher pressure and condensation temperature. The following figure illustrates the operation of an MVR.

Note: The selection of the water vapour stream is limited to the fluid R718 (water) based on the equation of state given in [4].

![Diagram of Simple Evaporator Unit](image)

Fig. A.3: Simple Evaporator Unit
The stream selected is limited to a water vapour stream that is subcooled. The amount of this stream to upgrade is set in the MVR dialog allowing the same compressor calculation as listed in Appendix A.2 for the heat pump ECU to determine the state at the outlet of the compressor. The electrical power requirements, incorporating isentropic and drive efficiencies, can then be calculated and used in the cost calculation for the compressor.
A.5 Thermal Vapour Recompression Energy Conversion Unit

The thermal vapour recompression (TVR) energy conversion unit (ECU) operates with the similar goal as the mechanical vapour recompression unit. However, this unit utilizes medium to high pressure steam to achieve the temperature lift necessary to reach the required condensation temperature. The TVR is also in principle a heat pump, yet is an open system that takes an existing stream and upgrades it to a higher pressure and condensation temperature. The following figure illustrates the principle of a TVR.

Note: The selection of the water vapour stream is limited to the fluid R718 (water) based on the equation of state given in [4].

![Fig. A.5: Simple Evaporator Unit](image-url)
The calculation of the amount of steam $m_T$ required to upgrade the selected waste heat stream $m_F$ is the main calculation for the ECU. Inefficiencies in the nozzle are handled internally to account for the irreversible nature of the expansion and diffusion process that occur with in the nozzle. The following equation is based on the overall energy balance around the nozzle assuming reversible expansion and diffusion processes [13]:

$$\xi_{Tr} = \left( \frac{m_T}{m_T + m_F} \right)_r = \frac{h_F - h_{mix}}{h_F - h_T}$$

In order to account for the irreversible nature of the processes in the diffuser and the expansion sections, specific diffusor and expansion efficiencies can be entered on the TVR dialog. They are used in the following equation to determine the amount of steam, $m_T$, necessary to achieve the increase in condensation temperature of the water vapour.

$$\xi_T = \sqrt{\frac{\xi_{Tr}}{\eta_D \eta_E}}$$

The amount of steam required in the irreversible case can be easily calculated using the following equation:

$$\xi_T = \frac{m_T}{m_T + m_F}$$
A.6 Multiple Operating Cases and Batch Supertargeting

Multiple operating case (MOC) and batch supertargeting is done to optimize the direct heat transfer possibilities between different operating cases (OCs) or batch time slices (TSs). Thanks to the direct analogy between OCs and TSs, the presented method of supertargeting can be used for in the manner for the optimization of direct heat transfer possibilities of batch processes or continuous/semi-continuous processes that form MOCs. Therefore, the term OC and TS can be used interchangeably.

In contrast to the "classical" pinch analysis of a single continuous process MOC and batch supertargeting requires an extension to the method to account for the reuse of common heat exchanger area between different OCs.

The reuse of the HEX area between different OCs/TSs generates coupling between the different OCs/TSs so their individual energy/cost tradeoff have an influence on the overall area. The goal of MOC supertargeting is to determine the "set" of $\Delta T_{\text{min}}$ values (1 per OC/TS), which results in the lowest total cost (The coupling between the OCs/TSs which arises through the reuse from HEX area makes the calculation of the "sets" of $\Delta T_{\text{min}}$ values for n-OCs (n-TSs) an n-dimensional problem.)

The theoretical foundation for the practical application of the MOC supertargeting was developed by P.S. Jones [16].

**Design Types** The reuse of HEX area is a complex optimization task so long as no clear limits on the possible "rearrangement" of HEX between the different OCs/TSs are made. Jones defined two main restricted design types - *Conventional Design* and *Resequence Design*. In Figure A.7 is shown optimal HEN designs for two simple OCs to help illustrate the concept of reusing HEXs in these ways.
Fig. A.7: Simple two operating case example illustrating HEN design for conventional and resequence design type constraints

Conventional Design:
This design type is the variant that has the least flexibility. The HEXs in the overall design must always maintain the same matches between the same two streams as given in the original OCs. In addition the HEXs must be so placed that each stream of the HEX flows in the same order as in the OCs (see figure A.7). Based on these restrictions the condition arises that per stream always only one HEX for all OCs can be reused.

Resequence Design:
By resequence design, as by conventional design, the HEX between the same two streams must be maintained between the OCs. However, in contrast to conventional design it is allowed in resequence design to have the flow in any order for each stream. As a result, the HEX area can be placed in a more flexible manner and the total HEX area of the
overall design will be smaller than by conventional design. For example, for OC 1 in the overall design shown in figure A.7 stream H1 first flow through HEX 2 and then via bypass back to HEX 1 and then finally through HEX 3. Through the use of several bypasses can the stream H1 be redirected in very flexible manner.

The more flexible the HEN design then the greater potential for the reuse of HEX area between OCs, but as a result a larger investment in piping, valves and control system needs. These costs must also be considered in the analysis.

Calculation Of Combined Supertargeting Optimization:

Both the conventional and resequence design supertargeting use a two loop during calculation:

- **Inner Loop**: Searches for the set of $\Delta T_{min}$ for each OC which results in the lowest total cost

- **Outer Loop**: Searches for given set of $\Delta T_{min}$ for the maximum common HEX area and therefore the minimal total HEX area needed for the overall network

Based on the assumption of vertical heat transfer (as assumed in the area calculation based on the composite curve), the amount of common or reused HEX area can be calculated and maximized using linear programming. This is done based on the shifting of heat flow (or analogously area) between HEX matches based on loops in the networks. The linear program is able to shift the area in order to maximize the area between each match (i.e. matrix elements shown in figure A.8). With these new values the total required area is reduced given the greater amount of common area now available.
Fig. A.8: Area matrices are optimized simultaneously to increase common area between the operating cases resulting in total minimum area target. This approach is based on the resequence design constraint.

Once the new conventional and resequence design target results have been calculated and the area matrices, the $\Delta T_{min}$ values and other parameters are known, then design of the HEN can be done to approach the values. Support for such parameters is to be made available in a future version of PinCH. Nevertheless, the present version of PinCH MER and Relaxed HEN design support is still effective enough to allow building of the HEN for the overall, but without the additional parameter support. For more information on the MOC supertargeting algorithm and MOC HEN design based on the conventional and resequence HEN design types the information given by Jones [16] and by Krummenacher [17] is recommended.
A.7 Single Batch Product Scheduling and EROP Details

Scheduling Details
Schedules are used to configure the time dependency of either continuous or batch processes. This step is important in ensuring the correct operational times and the resulting operational costs are calculated.

Scheduling of Batch Processes
Batch processes that have a fixed and repeatable schedule are defined by the time dependence of the individual streams according to their specific start and end time. These start and end times define the existence time of each stream relative to the other streams. A Gantt chart can be used to conveniently display the streams and see the individual time slices that are created. These time slices represent time periods where direct heat heat recovery is possible between the streams that are present. The following diagram illustrates the time dependence typical of a repeating single product batch process:

![Gantt chart of a single product batch process](image)

Fig. A.9: Gantt chart of a single product batch showing the existence times of each stream and the piece of equipment they are assigned to. Any equipment pre-processing and post processing times are also shown before and after each stream.
The above diagram shows the relationship between the streams and their relative position to each other in time. Of particular importance is that more than one stream can belong to the same piece of equipment (e.g. in a reactor). These streams are defined as non-flowing given they are contained in a single vessel during their heating or cooling duration. Flowing streams represent the more typical transfer of mass from one point to another such as in a pipe. The duration of the single batch is defined based on the consideration of the pre-processing or post-processing of the equipment items themselves. Two types of duration are shown on the diagram and are defined as follows:

- BSD - Batch Stream Duration
- BPD - Batch Process Duration

The batch stream duration is determined by the existence times of the stream themselves and define the time slices for direct heat integration. However, the actual availability of each piece of equipment is limited by any pre or post processing required for each batch giving an overall batch process duration.

The analysis of the single batch is important for heat integration; however, it is often the case that the individual batches are overlapped to increase production. This overlap provides the possibility to transfer heat between different batches. As result, an equipment-wise repeat operation period (EROP) can be identified representing a new set of time slices. The following diagram illustrates a one day Base Production Cycle (BPC) consisting of four overlapping single batches and the resulting EROP that forms two times over the 1st, 2nd and 3rd stream-wise repeat operation periods (SROP). In addition, equipment 2 is shown to be doubled (E2.1 and E2.2) due to the overlap in time with itself based on the given BCD. This is not possible in reality and as a result the second piece of equipment E2.2 has to be included.
Fig. A.10: Example of the how the equipment-wise repeat operation period (EROP) forms given a base production cycle (BPC) consisting of four overlapping batches.

**Equipment-wise Repeat Operation Period (EROP) in the PinCH Software**

The PinCH software automatically calculates the EROP based on the amount of overlap defined in the schedule. The key parameter to define the amount of overlap is the Batch Cycle Duration (BCD), which is the time at which the next batch starts after the previous batch has started. The following diagram shows how a single product batch process that is overlapped in time is visualized in the PinCH software. The equipment E2.1 is shown to have two streams assigned to it. Given the amount of overlap of consecutive batches, the situation arises whereby the pieces of equipment E1.1 and E2.1 overlap with themselves in time. As a result, the software automatically increases the number of pieces of equipment for E1.1 and E2.1 to two as would be done in practice. The additional heat transfer area and operational costs are accounted for automatically in targeting as the number of pieces of equipment are increased.
Fig. A.11: Batch example showing the weekly production cycles diagram and the associated equipment-wise repeat operation period (EROP) time slice model formed due to the overlap of the individual batches as calculated in PinCH 2.0.
The startup and shutdown phases represent the time periods just before the first EROP begins and just after the last EROP is complete. Since no overlap occurs during these time periods no direct heat transfer between batches can occur and typically hot and cold utilities would be used meet the process requirements.

The operational costs of the startup and shutdown phases can be significant if the number of base production cycles (BPC) are large. PinCH focuses on just the repeated operation periods and does not account for the startup and shutdown costs in the total cost. If the user would like to analyse the startup and shutdown phases (i.e. time slice decomposition, composite curves, heat recovery, cost, etc.) then each phase will have to be defined separately as a single batch with a batch cycle time (BCD) large enough so that no overlap occurs.